RESOLUTION NO. 74837

A RESOLUTION OF THE COUNCIL OF THE CITY OF SAN JOSÉ AMENDING THE CONFLICT OF INTEREST CODE FOR THE CITY OF SAN JOSE

WHEREAS, pursuant to Sections 87300 and 87302 of the California Political Reform Act of 1974, the City Council of the City of San Jose has adopted and incorporated by reference the terms of the standard model conflict of interest code adopted by the Fair Political Practices Commission (FPPC) by Title 2, Division 6, California Code of Regulations, Section 18730; and

WHEREAS, the City Council has thereby approved a list setting forth designated positions of officers, employees, members and consultants of the City, and setting forth required conflict of interest disclosure categories; and

WHEREAS, that list should be amended and updated due to changes in organization and authority of City departments, boards, commissions and committees; and

WHEREAS, the City Council held a public hearing on December 9, 2008 on the proposed amendments to the Conflict of Interest Code following the notice required by law and adopted Resolution 74709; and

WHEREAS, some departments identified the need to make adjustments to some designated positions and these revisions are being brought forward for action at this time to assure that all City officials and employees who should file the Statement of Economic Interests are identified and properly notified of their obligations; and

WHEREAS, the appendices proposed to be revised are as follows:

Office of the City Manager - Administration	The Senior Executive Analyst, PCN: 15779, Executive Analyst II, PCN: 15159 and Video/Multimedia Producer, PCN: 12595 positions will not be designated to file the Form 700.
Office of the City Manager – OMB	Three Assistant to the City Manager (PCN: 0022, 5006, 7156) positions will be designated to file the Form 700.
Fire Department	Every Arson Investigator, Associate Engineer – UR, Fire Prevention Inspector, Permit Specialist, Program Manager II, Senior Engineer, Senior Hazardous Materials Inspector and Supervising Public Safety Dispatcher position will be designated to file the Form 700. The Engineer II position will not be designated to file the Form 700 since it has been deleted.
Housing	Every Analyst I/II and Development Specialist position will be designated to file the Form 700.
Information Technology	The Sr. Analyst, PCN: 102382, Division Manager, Technology, PCN: 108148 and Program Manager II - PCN: 16045 (vacant) positions will not be designated to file the Form 700.

WHEREAS, the City Council held a public hearing on March 24, 2009 on these proposed amendments to the Conflict of Interest Code following the notice required by law;

NOW, THEREFORE, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SAN JOSÉ THAT:

1. In accordance with Section 87303 of the Political Reform Act, the City of San Jose Conflict of Interest Code, as set forth in Resolution 74709, is amended by this Resolution and the appendices attached hereto.
2. The terms of Title 2, Division 6, California Code of Regulations, Section 18730,

and any amendments thereto, taken with this Resolution and its appendices, constitute the Conflict of Interest Code for all City of San Jose departments, boards, commissions and committees.

3. Persons holding designated positions listed in the attached Appendix I, including temporary employees of the City of San Jose, and consultants who are required to disclose pursuant to Appendix II, must file the Form 700 – Statement of Economic Interests when required by the California Political Reform Act or notified to do so by the City Clerk or designee or by the terms of an employee or consultant agreement with the City in accordance with the Act.

4. Pursuant to the City Auditor's report in Audit 08-02, in the event a designated filer does not file his or her Form 700 in a timely manner, and after the City Clerk has sent one reminder to the filer, the City Clerk will notify the Department Director that the filer – whether he or she is an employee, member of a Board or Commission, temporary employee or consultant – has not complied with the disclosure requirements. If the designated filer is an employee, he or she may be recommended for disciplinary action by the Department.

RD:LH
03-11-09

RES. NO. 74837

ADOPTED this 24th day of March, 2009, by the following vote:

AYES: CAMPOS, CHU, CONSTANT, HERRERA, KALRA, LICCARDO, NGUYEN, OLIVERIO, PYLE, REED.

NOES: NONE.

ABSENT: CHIRCO.

DISQUALIFIED: NONE.

CHUCK REED
Mayor

ATTEST:

LEE PRICE, MMC
City Clerk

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: City Council**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Mayor*	Yes	Yes	Yes
Council Members*	Yes	Yes	Yes
Mayor's Executive Officer	Yes (1)	Yes	Yes (2)
Council Assistant I / II	Yes (1)	Yes	Yes (2)
Senior Council Assistant	Yes (1)	Yes	Yes (2)

* Indicates Officials listed in California Government Code Section 87200.

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Office of the City Attorney**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
City Attorney U*	Yes	Yes	Yes
Assist City Attorney SII	Yes	Yes	Yes
Assist City Attorney U	Yes	Yes	Yes
Chief Deputy City Attorney SII	Yes (1)	Yes	Yes (2)
Chief Deputy City Attorney U	Yes (1)	Yes	Yes (2)
Chief Trial Attorney SII	Yes (1)	Yes	Yes (2)
Deputy City Attorney SII	Yes (1)	Yes	Yes (2)
Deputy City Attorney U	Yes (1)	Yes	Yes (2)
Legal Services Admin U	Yes (1)	Yes	Yes (2)
Legal Services Manager U	Yes (1)	Yes	Yes (2)
Senior Deputy City Attorney SII	Yes (I)	Yes	Yes (2)
Senior Deputy City Attorney U	Yes (1)	Yes	Yes (2)

* Indicates Officials listed in California Government Code Section 87200.

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Office of the City Auditor**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
City Auditor	Yes	Yes	Yes
Supervising Auditor U	Yes (1)	Yes	Yes (2)
Senior Programming Performance Auditor U	Yes (1)	Yes	Yes (2)
Program Performance Auditor II U	Yes (1)	Yes	Yes (2)
Program Performance Auditor I U	Yes	Yes	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Office of the City Manager – Administration**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
City Manager U*	Yes	Yes	Yes
Assistant City Manager U	Yes	Yes	Yes
Deputy City Manager U	Yes	Yes	Yes
Director of Legislative and Public Policy	Yes	Yes	Yes
Director of Communications	Yes	Yes	Yes
Administrative Officer	Yes	Yes	Yes
Assistant to the City Manager U (PCN: 12209; 13909; 6805; 5804; 15227)	Yes	Yes	Yes
Legislative Research Specialist U	Yes	Yes	Yes

Designated Positions **Dept: Office of the City Manager – OMB**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Budget Director U	Yes	Yes	Yes
Assistant Director U – Budget	Yes	Yes	Yes
Deputy Director U – Budget	Yes	Yes	Yes
Assistant to the City Manager (PCN: 0022, 5006, 7156)	Yes	Yes	Yes

Designated Positions **Dept: Office of the City Manager – OER**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Director of Employee Relations	Yes	Yes	Yes
Assistant to the City Manager (PCN: 14632)	Yes	Yes	Yes

Designated Positions **Dept: Office of the City Manager – OES**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Emergency Preparedness Director	Yes	Yes	Yes
Administrative Officer (PCN: 15154)	Yes	Yes	Yes

* Indicates Officials listed in California Government Code Section 87200.

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

APPENDIX I

Resolution No. 74837

Designated Positions Dept: Office of the City Manager OED/Bus Attraction/Retention	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Economic Development U	Yes	Yes	Yes
Assistant Director of Economic Development U	Yes	Yes	Yes
Deputy Director	Yes	Yes	Yes
Manager Corp Outreach	Yes	Yes	Yes

Designated Positions Dept: Office of the City Manager OED/work2future	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Deputy Director	Yes	Yes	Yes
Division Manager (work2future – Operations)	Yes (1)	No	Yes (2)
Division Manager (work2future – Bus Svcs)	Yes (1)	Yes	Yes (2)

Designated Positions Dept: Office of the City Manager OED/Office of Cultural Affairs (OCA)	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Deputy Director (OCA)	Yes	Yes	Yes
Division Manager (OCA)	Yes (1)	No	Yes (2)
Section Manager (OCA) – Public Art Program	Yes	Yes	Yes

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in, doing business in, or planning to do business in the City.

(2) Gifts from sources located in, doing business in, or planning to do business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Office of the City Clerk**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
City Clerk U	Yes	No	Yes
Assistant City Clerk U	Yes	No	Yes
Administrative Manager	Yes (1)	No	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Airport**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Director of Aviation	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Assistant to the Director	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Deputy Director Aviation	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes (2)	Yes (3)
Airport Maintenance Supervisor	Yes (1)	Yes (2)	Yes (3)
Airport Noise Abatement Manager	Yes (1)	Yes (2)	Yes (3)
Airport Operations Manager	Yes (1)	Yes (2)	Yes (3)
Airport Operations Superintendent	Yes (1)	Yes (2)	Yes (3)
Associate Engineer	Yes (1)	Yes (2)	Yes (3)
Associate Structure/Land Designer	Yes (1)	Yes (2)	Yes (3)
Building Maintenance Superintendent	Yes (1)	Yes (2)	Yes (3)
Building Management Admin	Yes (1)	Yes (2)	Yes (3)
Custodial Supervisor	Yes (1)	Yes (2)	Yes (3)
Division Manager	Yes (1)	Yes (2)	Yes (3)
Electrical Maintenance Superintendent	Yes (1)	Yes (2)	Yes (3)
Environmental Service Program Manager	Yes (1)	Yes (2)	Yes (3)
Marketing & Public Outreach Manager	Yes (1)	Yes (2)	Yes (3)
Principal Accountant	Yes (1)	Yes (2)	Yes (3)
Principal Engineering Technician	Yes (1)	Yes (2)	Yes (3)
Program Manager I, II	Yes (1)	Yes (2)	Yes (3)
Senior Analyst (13129, 13414, 15220, 15318)	Yes (1)	Yes (2)	Yes (3)
Senior Construction Inspector	Yes (1)	Yes (2)	Yes (3)
Senior Electrician	Yes (1)	Yes (2)	Yes (3)
Senior Engineer	Yes (1)	Yes (2)	Yes (3)
Senior Geographic Systems Specialist	Yes (1)	Yes (2)	Yes (3)
Senior Painter	Yes (1)	Yes (2)	Yes (3)
Senior Planner	Yes (1)	Yes (2)	Yes (3)
Supervising Property Manager	Yes (1)	Yes (2)	Yes (3)
Supervisor, Trades	Yes (1)	Yes (2)	Yes (3)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in, doing business in or planning on doing business in the City.

(2) Interests in real property located in the City, including property located within a two-mile radius of any property owned or used by the City and/or eligible for treatment under the Airport Acoustical Treatment Program (ACT).

(3) Gifts from sources located in, doing business in, or planning to do business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Environmental Services**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Director of Environmental Services U	Yes	Yes	Yes
Assistant Director	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Associate Engineer	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Environment Compliance Officer	Yes (1)	Yes	Yes (2)
Environmental Inspector I, II	Yes (1)	Yes	Yes (2)
Environmental Inspector, Senior	Yes (1)	Yes	Yes (2)
Environmental Lab Manager	Yes (1)	Yes	Yes (2)
Environmental Services Program Manager	Yes (1)	Yes	Yes (2)
Financial Analyst	Yes (1)	No	Yes (2)
Marketing & Public Outreach Manager	Yes (1)	Yes	Yes (2)
Principal Accountant	Yes (1)	Yes	Yes (2)
Program Manager II	Yes (1)	Yes	Yes (2)
Senior Accountant	Yes (1)	No	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Senior Analyst	Yes (1)	No	Yes (2)
Supervising Environmental Services Specialist	Yes (1)	Yes	Yes (2)
Systems Control Supervisor	Yes (1)	No	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the San Jose/Santa Clara Water Pollution Control Plant service area (including San Jose, Milpitas, Santa Clara, Campbell, Los Gatos, Monte Sereno, Saratoga, Cupertino and the unincorporated areas of Santa Clara County).
2. Gifts from sources located in or doing business in the San Jose/Santa Clara Water Pollution Control Plant service area (including San Jose, Milpitas, Santa Clara, Campbell, Los Gatos, Monte Sereno, Saratoga, Cupertino and the unincorporated areas of Santa Clara County).

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Finance**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Director of Finance U*	Yes	Yes	Yes
Deputy Director U Treasury (PCN 13392)*	Yes	Yes	Yes
Deputy Director U (PCNs: 0149, 0157, 14576)	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Buyer I/II	Yes	No	Yes (2)
Division Manager (PCN 15977)	Yes	No	Yes (2)
Division Manager (PCN 15976)	Yes (1)	No	Yes (2)
Financial Analyst (PCNs: 15814, 0164, 15147, 8295)	Yes (1)	No	Yes (2)
Principal Accountant	Yes (1)	No	Yes (2)
Program Manager II (PCN 14038)	Yes	No	Yes (2)
Program Manager II	Yes (1)	No	Yes (2)
Program Manager I (PCN 14641)	Yes	No	Yes (2)
Program Manager I	Yes (1)	No	Yes (2)
Senior Analyst	Yes	No	Yes (2)
Senior Investigator Collector	Yes (1)	No	Yes (2)

* Indicates Officials listed in California Government Code Section 87200.

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

1. Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.
2. Gifts from sources located in or doing business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions Dept: Fire Department	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Fire Chief (1465)	Yes	Yes	Yes
Assistant Fire Chief (1504)	Yes	Yes	Yes
Deputy Director - Administrative Services (14373)	Yes	Yes	Yes
Deputy Director – Support Services (14662)	Yes	Yes	Yes
Deputy Fire Chief - Operations/EMS (13343)	Yes	No	Yes
Deputy Fire Chief – Fire Marshall (15143)	Yes	Yes	Yes
Deputy Fire Chief - Operations/Sp Ops (1504)	Yes	No	Yes
Deputy Fire Chief - Operations/Training (8148)	Yes	No	Yes
Administrative Officer (14421, 9114)	Yes (1)	Yes	Yes (2)
Arson Investigator	Yes (1)	Yes	Yes (2)
Associate Engineer UR- Eng	Yes (1)	Yes	Yes (2)
Battalion Chief - Assistant Fire Marshall (1508)	Yes (1)	Yes	Yes (2)
Battalion Chief – Communications (14579)	Yes (1)	No	Yes (2)
Battalion Chief - EMS (1511)	Yes (1)	No	Yes (2)
Battalion Chief - Facilities Chief (6141)	Yes (1)	No	Yes (2)
Battalion Chief – Safety Officer (1519)	Yes (1)	No	Yes (2)
Battalion Chief – Training (1512)	Yes (1)	No	Yes (2)
Contract Doctor - EMS Doctor	Yes (1)	No	Yes (2)
Fire Captain – EMS Captain (1528, 1604, 7633, 1664)	Yes (1)	No	Yes (2)
Fire Captain – Apparatus Captain (8332)	Yes (1)	No	Yes (2)
Fire Captain – Arson Captain (8440)	Yes (1)	Yes	Yes (2)
Fire Captain – Facilities Captain (1552)	Yes (1)	No	Yes (2)
Fire Captain - BFP (1620)	Yes (1)	Yes	Yes (2)
Fire Prevention Inspector	Yes (1)	Yes	Yes (2)
Hazardous Materials Insp II	Yes (1)	Yes	Yes (2)
Permit Specialist	Yes (1)	Yes	Yes (2)
Program Manager II	Yes (1)	No	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Senior HazMat Insp – HazMat/IDTS	Yes (1)	Yes	Yes (2)
Supervising Public Safety Dispatcher	Yes (1)	No	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

Designated Positions Dept: General Services	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of General Services U	Yes	Yes	Yes
Deputy Director U (Animal Care & Services)	Yes	Yes	Yes
Deputy Director U (Facilities)	Yes	Yes	Yes
Deputy Director U (Fleet/Admin)	Yes	Yes	Yes
Division Manager	Yes (1)	No	Yes (2)
Administrative Officer	Yes (1)	No	Yes (2)
Building Management Administrator (City Hall)	Yes (1)	No	Yes (2)
Building Management Administrator (City-wide)	Yes (1)	No	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

Designated Positions Dept: Housing	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Housing U	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Analyst I/II C	Yes (1)	Yes	Yes (2)
Building Inspector/Comb (Cert)	Yes (1)	Yes	Yes (2)
Building Rehabilitation Inspector I/II	Yes (1)	Yes	Yes (2)
Building Rehabilitation Supervisor	Yes (1)	Yes	Yes (2)
Development Officer	Yes (1)	Yes	Yes (2)
Development Specialist	Yes (1)	Yes	Yes (2)
Division Manager	Yes	Yes	Yes (2)
Housing Policy and Planning Administrator 7430	Yes	Yes	Yes (2)
Marketing/Public Outreach Manager	Yes (1)	Yes	Yes (2)
Senior Accountant	Yes (1)	Yes	Yes (2)
Senior Analyst	Yes (1)	Yes	Yes (2)
Senior Development Officer	Yes (1)	Yes	Yes (2)
Temp Empl Retiree U (#6952) (#14290)	Yes (1)	Yes	Yes (2)
Consultant (As Identified by the Housing Department)	Yes (1)	Yes	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in, doing business in, or planning to do business in the City.

(2) Gifts from sources located in, doing business in, or planning to do business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Human Resources**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Director of Human Resources U	Yes	Yes	Yes
Deputy Director U – Risk Management	Yes	Yes	Yes
Deputy Director U – Employment	Yes	No	Yes
Division Manager	Yes (1)	No	Yes (2)
Program Manager II	Yes (1)	Yes	Yes (2)
Administrative Officer	Yes (1)	No	Yes (2)
Physician	Yes (1)	No	Yes

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

APPENDIX I

Resolution No.74837

Designated Positions **Dept: Independent Police Auditor**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Deputy Director U	Yes	Yes	Yes
Independent Police Auditor	Yes	Yes	Yes

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Information Technology**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Director of Information Technology U	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Program Manager I/II (PCN: 15338, 14589, 15850, 15849, 16124)	Yes (1)	Yes	Yes (2)
Senior Analyst (PCN: 15987, 15774)	Yes (1)	Yes	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions Dept: Library	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
City Librarian U	Yes	Yes	Yes
Assist Dir U	Yes	Yes	Yes
Deputy Dir U	Yes	Yes	Yes
Assistant to the Director	Yes	No	Yes
Community Programs Administrator	Yes (1)	No	Yes (2)
Administrative Officer	Yes (1)	No	Yes (2)
Division Manager	Yes	No	Yes (2)
Senior Librarian (Position #3663 and #3664)	Yes (1)	No	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

Designated Positions Dept: Parks, Recreation & Neighborhood Services	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Parks, Rec, Neighborhood Svcs	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Deputy Director	Yes	Yes	Yes
Deputy Director Parks, Rec, & Neighborhood Svcs (U)	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Parks Manager	Yes (1)	Yes	Yes (2)
Recreation Superintendent	Yes (1)	Yes	Yes (2)
Senior Landscape Architect	Yes (1)	Yes	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

Designated Positions Dept: Planning, Building & Code Enforcement	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director Planning, Bldg & Code Enforcement U	Yes	Yes	Yes
Assistant Director Planning, Bldg & Code Enforcement U	Yes	Yes	Yes
Deputy Director Code Enforcement	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Deputy Director Planning U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Analyst II C	Yes (1)	Yes	Yes (2)
Assoc Architect/Land Architect	Yes (1)	Yes	Yes (2)
Associate Engineer	Yes (1)	Yes	Yes (2)
Building Inspection Manager	Yes (1)	Yes	Yes (2)
Building Inspector, Supervisor	Yes (1)	Yes	Yes (2)
Building Inspector, Supervisor Cert	Yes (1)	Yes	Yes (2)
Building Inspector/Comb	Yes (1)	Yes	Yes (2)
Building Inspector/Comb Cert	Yes (1)	Yes	Yes (2)
Code Enforcement Inspector I/II	Yes (1)	Yes	Yes (2)
Code Enforcement Supervisor	Yes (1)	Yes	Yes (2)
Environment Inspector I/II	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Permit Specialist	Yes (1)	Yes	Yes (2)
Permit Specialist, Senior	Yes (1)	Yes	Yes (2)
Planner I/II	Yes (1)	Yes	Yes (2)
Planner II PT	Yes (1)	Yes	Yes (2)
Principal Permit Specialist	Yes (1)	Yes	Yes (2)
Principal Planner	Yes (1)	Yes	Yes (2)
Program Manager II	Yes (1)	Yes	Yes (2)
Senior Analyst	Yes (1)	Yes	Yes (2)
Senior Architect/Land Architect	Yes (1)	Yes	Yes (2)
Senior Planner	Yes (1)	Yes	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Senior Supervisor, Administration	Yes (1)	Yes	Yes (2)
Supervising Envir Service Specialist	Yes (1)	Yes	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in, doing business in, or planning to do business in the City.

(2) Gifts from sources located in, doing business in, or planning to do business in the City.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Police Department**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Chief of Police U	Yes	Yes	Yes
Assistant Police Chief U	Yes	Yes	Yes
Deputy Chief of Police	Yes	Yes	Yes
Deputy Director U – Gaming Control (PCN 12722)	Yes	Yes	**Yes**
Police Captain	Yes	Yes	Yes
Administrative Officer – Fiscal (PCN 0471)	Yes (1)	No	Yes (2)
Administrative Officer–Crime Analysis (PCN 12418)	Yes (1)	No	Yes (2)
Division Manager, Public Safety (PCN 6353)	Yes (1)	No	Yes (2)
Division Manager, Public Safety (PCN 8392)	Yes (1)	No	Yes (2)
Police Lieutenant	Yes (1)	No	Yes (2)
Program Manager II – Communications (PCN 13776)	Yes (1)	No	Yes (2)
Program Manager I – Communications (PCN 15581)	Yes (1)	No	Yes (2)
Senior Police Property Spec (PCN 4727)	Yes (1)	No	Yes (2)
Supervising Auditor – Gaming Control (PCN 12723)	Yes	No	Yes
Auditor – Gaming Control (PCN 16185, 16186)	Yes	No	Yes
Police Sergeant – Horse Mounted Unit (PCN 7223)	Yes (1)	No	Yes (2)
Police Sergeant – PAL (PCN 813)	Yes (1)	No	Yes (2)
Police Sergeant – Systems Dev. (PCN 7221)	Yes (1)	No	Yes (2)
Police Sergeant–Research & Dev/Fleet Mgr. (PCN 824)	Yes (1)	No	Yes (2)
Police Sergeant – City Attorney's Office (PCN 6298)	Yes (1)	No	Yes (2)
Police Sergeant – FTO (PCN 825)	Yes (1)	No	Yes (2)
Police Sergeant – Air Support (PCN 737)	Yes (1)	No	Yes (2)
Police Sergeant – School Safety (PCN 687)	Yes (1)	No	Yes (2)
Police Sergeant – Reserves/VOLT (PCN 732)	Yes (1)	No	Yes (2)
Police Sergeant – PIO (PCN 740)	Yes (1)	No	Yes (2)
Police Sergeant – School Liaison (PCN 421)	Yes (1)	No	Yes (2)
Police Sergeant – CIT (PCN 712)	Yes (1)	No	Yes (2)
Police Sergeant – BOMB (PCN 5198)	Yes (1)	No	Yes (2)
Police Sergeant – Gaming Control (PCN 00676)	Yes (1)	No	Yes (2)
Police Officer – Gaming Control (PCN 1020, 1066, 1364)	Yes (1)	No	Yes (2)
Police Officer – Systems Dev. (PCN 4493)	Yes (1)	No	Yes (2)
Senior Analyst (Safety Officer, PCN 12714)	Yes (1)	No	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in, doing business in, or planning to do business in the City.

(2) Gifts from sources located in, doing business in, or planning to do business in the City.

Designated Positions Dept: Public Works	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Public Works U	Yes	Yes	Yes
Assistant Director U	Yes	Yes	Yes
Deputy Director of Public Works	Yes	Yes	Yes
Administrative Officer	Yes	Yes	Yes (1)
Associate Architect/Landscape Arch	Yes	Yes	Yes (1)
Associate Construction Inspector	Yes	Yes	Yes (1)
Associate Engineer	Yes	Yes	Yes (1)
Associate Structure/Landscape Designer	Yes	Yes	Yes (1)
Building Inspector/Comb	Yes	Yes	Yes (1)
Building Inspector/Comb (Cert)	Yes	Yes	Yes (1)
Building Inspector, Supervisor (Cert)	Yes	Yes	Yes (1)
Chief of Surveys	Yes	Yes	Yes (1)
Contract Compliance Coordinator	Yes	Yes	Yes (1)
Construction Manager	Yes	Yes	Yes (1)
Division Manager	Yes	Yes	Yes (1)
Land Surveyor	Yes	Yes	Yes (1)
Principal Accountant	Yes	Yes	Yes (1)
Principal Construction Inspector	Yes	Yes	Yes (1)
Principal Engineering Technician	Yes	Yes	Yes (1)
Program Manager I/II	Yes	Yes	Yes (1)
Real Property Agent I/II	Yes	Yes	Yes (1)
Senior Accountant	Yes	Yes	Yes (1)
Senior Architect/Landscape Architect	Yes	Yes	Yes (1)
Senior Analyst (PCN 15313)	Yes	Yes	Yes (1)
Senior Construction Inspector	Yes	Yes	Yes (1)
Senior Engineer	Yes	Yes	Yes (1)
Senior Geographic Systems Specialist	Yes	Yes	Yes (1)
Supervising Traffic Signal Technician	Yes	Yes	Yes (1)
Supervising Real Property Agent	Yes	Yes	Yes (1)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) The Department of Public Works' Gift Policy is meant to more narrowly limit the acceptance of gifts beyond the laws already in place within the San Jose Municipal Code (Chapter 12.08: Prohibition of Gifts, Sections 12.08.010-12.08.050) and the California Fair Political Practices Commission regulations (Section 18945). Although the Department Gift Policy states that no gifts are to be accepted from any person or company subject to the decision-making authority of the City, there are exceptions to this general rule. Please see Department Gift Policy for details.

APPENDIX I

Resolution No. 74837

Designated Positions **Dept: Retirement Services**	**Reportable Disclosure Categories**		
	Investments, Business Positions, Sources of Income	**Real Property Interests**	**Gifts**
Director of Retirement Services U*	Yes	Yes	Yes
Deputy Director U* (Chief Investment Ofcr)	Yes	Yes	Yes
Deputy Director U (Chief Operations Ofcr)	Yes	Yes	Yes
Administrative Officer* (Investment Officer)	Yes	Yes	Yes
Administrative Officer (Benefits Manager)	Yes (I)	No	Yes (2)
Financial Analyst (Investments)	Yes	Yes	Yes

* Indicates Officials listed in California Government Code Section 87200.

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

Designated Positions Dept: Department of Transportation	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Director of Transportation U	Yes	Yes	Yes
Assistant Director	Yes	Yes	Yes
Deputy Director U	Yes	Yes	Yes
Administrative Officer	Yes (1)	Yes	Yes (2)
Arborist	Yes (1)	Yes	Yes (2)
Assistant Arborist	Yes (1)	Yes	Yes (2)
Division Manager	Yes (1)	Yes	Yes (2)
Maintenance Manager	Yes (1)	Yes	Yes (2)
Operations Manager	Yes (1)	Yes	Yes (2)
Parking Manager	Yes (1)	Yes	Yes (2)
Parking & Traffic Control Supervisor	Yes (1)	Yes	Yes (2)
Principal Construction Inspector	Yes (1)	Yes	Yes (2)
Program Manager I (position 13669 only)	Yes (1)	Yes	Yes (2)
Program Manager II	Yes (1)	Yes	Yes (2)
Senior Construction Inspector	Yes (1)	Yes	Yes (2)
Senior Engineer	Yes (1)	Yes	Yes (2)
Senior Transportation Specialist	Yes (1)	Yes	Yes (2)
Transportation Planning Systems Manager	Yes (1)	Yes	Yes (2)

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Gifts from sources located in or doing business in the City.

Designated Positions Dept: Boards & Commissions	Reportable Disclosure Categories		
	Investments, Business Positions, Sources of Income	Real Property Interests	Gifts
Airport Commission	Yes (1)	Yes (2)	Yes (3)
Arts Commission	Yes (1)	Yes	Yes (3)
Civil Service Commission	Yes (1)	Yes	Yes (3)
Deferred Compensation Advisory Committee*	Yes	Yes	Yes
Downtown Parking Board	Yes (1)	Yes	Yes (3)
Elections Commission	Yes	Yes	Yes (3)
Federated City Employees Retirement System Board of Administration*	Yes	Yes	Yes
Historic Landmarks Commission	Yes (1)	Yes	Yes (3)
Housing & Community Development Advisory Commission	Yes	Yes	Yes (3)
Planning Commission*	Yes	Yes	Yes
Police & Fire Department Retirement Plan Board of Administration*	Yes	Yes	Yes
San Jose Appeals Hearing Board	Yes (1)	Yes	Yes (3)
San Jose Arena Authority	Yes (1)	Yes	Yes (3)

* Indicates Officials listed in California Government Code Section 87200 (Added Retirements Boards to 87200))

If no limitation is described, the reportable disclosure categories should follow the instructions to the Form 700 – Statement of Economic Interests provided by the Fair Political Practices Commission.

Reportable economic interests are limited as follows:

(1) Investments (in), business positions (in) and income (from) any business entity located in or doing business in the City.

(2) Interests in real property located in the City, including property located within a two-mile radius of any property owned or used by the City and/or eligible for treatment under the Airport Acoustical Treatment Program (ACT).

(3) Gifts from sources located in or doing business in the City.

Conflicts Consultant Appendix
Appendix II

A. Consultant's Disclosure

(1) Each "consultant" as defined by the Political Reform Act (Government Code 81000, et seq.) and the implementing regulations adopted by the Fair Political Practices Commission is required to file disclosure Form 700 with the Agency.

(2) Only those interests which are relevant to the consultant's engagement are required to be disclosed, The appropriate interests to be disclosed shall be determined by the responsible Appointing Authority or designee, such as directors or heads of the Various City of San Jose offices or departments. Appointing Authorities are those officers of the City appointed by the City Council pursuant to the City Charter. The interests to be disclosed shall be set forth in the agreement for services between the consultant and the City of San Jose.

(3) Disclosure shall be made as to the interests of each person performing under the agreement, to the extent required by the Political Reform Act.

B. Disqualification

(1) Nothing in this Resolution should be construed to allow any official, employee or consultant of the City of San Jose to make or participate in making or in any way attempt to influence a governmental decision in which he knows or has reason to know he has a financial interest. Disqualification in the event of a conflict of interest is governed by 87100 and following of the Government Code and the implementing regulations promulgated pursuant to the Political Reform Act.

(2) All agreements with consultants, whether or not such a consultant is required to file a disclosure statement in accordance with this Resolution, shall provide that the consultant is obligated to disqualify him self or herself if a conflict of interest exists at any time during the term of the Agreement.