

**SAN JOSE ELECTIONS COMMISSION**  
**SECOND SUPPLEMENT TO COMPLAINT**  
**FILED BY JOHN DOE ON DECEMBER 8, 2008**

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2009 APR -7 P 3:53

**MCENERY/URBAN MARKETS**  
**ATTACHMENT A**

**I. INTRODUCTION**

Despite the clear intent of the revised lobbyist ordinances, and online resources provided by the City of San Jose, Urban Markets LLC and its officers have still failed to report all lobbying activities targeted at obtaining the City's approval for their San Pedro Square Project; and continually submit incomplete and inaccurate lobbyist reports in violation of the San Jose City Municipal Code. Urban Markets' continued failure to comply with these requirements may be more "back room politics" and "business as usual," because Urban Markets LLC was confident before the February 24, 2009, City Council vote that its project would be approved; or it could be Tom McEnery continues to believe these laws do not apply to him ("the McEnery exemption").

Urban Markets Fourth Quarter Lobbyist Report is deficient on its face. A review of the publicly available City Council calendars reveals that Tom McEnery again failed to identify all reportable contacts he had in between October 1, 2008, and December 31, 2008. Additionally, the form itself is incomplete and fails to comply with the City's requirements.

**II. URBAN MARKETS' FOURTH QUARTER 2008 LOBBYIST REPORT DOES NOT IDENTIFY ALL REPORTABLE "CONTACTS"**

Urban Markets filed its Fourth Quarter Lobbyist Report with the San Jose City Clerk on January 15, 2009. (Exhibit 1.) Pursuant to the lobbyist ordinance, this report

was supposed to disclose all reportable “contacts” between Urban Markets and City Officials from October 1, 2008, through December 31, 2008.

While the report purports to list 9 in-person “contacts,” it does not identify at least 6 others reflected on the City Councilmembers’ calendars.

1. On **October 2, 2008**, Councilmember Judy Chirco attended an otherwise unspecified “meet and greet” with Tom McEnery. (Exhibit 6.) This meeting is not included in Urban Markets’ report.

2. On **October 27, 2008**, Mayor Reed and Pete Furman both met with Tom McEnery to discuss “downtown issues.” (Exhibits 7 and 8.) That same day Councilmember Pierluigi Oliverio met with Tom McEnery, David Cortese and Pete Constant at “Starbucks.” (Exhibit 9.) Neither of these meetings is included in the Urban Markets report.

3. On **October 29, 2008**, Pierluigi Oliverio met with Tom McEnery and Ray O’Flaherty regarding “downtown rant.” (Exhibit 9.) This meeting is not included in Urban Markets’ report.

4. On **November 4, 2008**, several of the Urban Market officers including Tom McEnery, John McEnery, Barry Swenson and Martin Menne met with Harry Mavrogenes, Janet Kern, Executive Director of RDA, Peter Larko, Director of RDA, and Allen Ishibashi, Property Management. This meeting is not included in Urban Markets’ report. (Exhibit 10.)

5. On **November 21, 2008**, there was a meeting to discuss “west of Market Street Revitalization Strategy Plan” at the Mayor’s office. Tom McEnery, Barry Swenson and Martin Menne were all present on behalf of Urban Markets, and according

to Harry Mavrogenes' calendar – identified as “lobbyists (Urban Markets).” Harry Mavrogenes, Mayor Chuck Reed, Pete Furman, Sam Liccardo, John Weis, and Ru Weerakoon were there as City Officials. (Exhibit 10.) This meeting is not included in Urban Markets' report.

6. Continuing his close relationship with Mayor Reed, on **December 30, 2008**, Tom McEnery and the Mayor had lunch to discuss “politics, sports and family.” (Exhibit 11.) Again, this meeting is not included in Urban Markets' report.

Additionally, despite numerous California Public Records Act requests, we have not been able to obtain all City Officials' calendars or other records pertaining to Tom McEnery and Urban Markets LLC, making it impossible to ascertain just how many other meetings, lunches, coffee breaks, or other “contacts” Mr. McEnery enjoyed with high-ranking City Officials before his project was approved on February 24, 2009. Given the difficulty in obtaining City Officials' calendars and correspondence with registered lobbyists, one may only wonder what else there is to hide. Certainly, further investigation is needed here.<sup>1</sup>

### **III. URBAN MARKETS' FOURTH QUARTER LOBBYIST REPORT IS DEFICIENT**

The City of San Jose maintains online instructions and sample forms for lobbyists to assist in the proper completion of the required reports. (Exhibit 12.) The purpose of the sample forms is to illustrate, in as simple terms as possible, the correct way to comply with the City's lobbyist ordinance.

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<sup>1</sup> Given the ongoing difficulty in obtaining these records through the California Public Records Act, perhaps the Investigator could request the records; or the Elections Commission could use its subpoena power under Section G(11) of Resolution 72547 to obtain all City Officials' calendars.

Urban Markets LLC's Fourth Quarter Lobbyist report was deficient in several ways including the following:

**A. The Report Fails Properly to Delineate All "Contacts"**

While Urban Markets' report identifies 9 dates on which at least one of its agents had contact with a City Official, the information is not properly reported and makes it difficult to ascertain just how many times Mr. McEnery and his colleagues contacted any specific City Official. As created, the form requires that each "City Official Contacted" be listed separately. Then for each City Official, the lobbyist is to indicate the "date(s) of contact," and number of contacts. (*See Sample Form, Exhibit 13.*) Instead, Urban Markets has listed numerous City Officials on each entry, and avoided the requirement of showing just how many times an agent of Urban Markets met with that official. (*See Urban Markets' Fourth Quarter Lobbyist Report, Exhibit 1.*)

At first glance, it appears that there were 9 in-person meetings, but a closer review reveals that Mr. McEnery, or his agents, had contact with Mr. Larko at least 6 times; Ms. Kern, at least 4 times; Mr. Mavrogenes, at least 5 times; as well as several others. Proper completion of this form would allow a transparent review of Urban Markets' lobbying activity.

In addition to in-person contacts, Urban Markets LLC is required to report all direct communication with City Officials including telephone conversations and email correspondence.<sup>2</sup> The requirements for reporting direct communications are the same as reporting in-person contacts. The City Official contacted should be identified, followed

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<sup>2</sup> San Jose City Municipal Code § 12.12.170, defines "contact" as: "meeting with a City Official or City Official-Elect (either in-person or by phone), or sending (either personally or through an agent) a direct communication. A direct communication may be an email, letter, tape or video."

by the date(s) of contact, and lastly the box revealing the number of contacts with that specific City Official should be marked.

Instead of complying with the basic form, Urban Markets notes only that John McEnery and Tom McEnery both had “misc brief phone conversations about Urban Markets” with various City Officials including Harry Mavrogenes, Pete Larko, Chuck Reed, Sam Liccardo, and Pierluigi Oliverio. Urban Markets took the same approach regarding emails and reported only that John McEnery, Tom McEnery and Martin Menne had “misc. brief emails about Urban Markets” with Allan Ishibashi, Janet Kern, Walter Rask, Harry Mavrogenes and Pete Larko. The box suggesting there were “11 or more” contacts is checked for both the miscellaneous telephone calls and emails. It is unclear if there were 11 telephone calls or 110 calls, and to which City Official they were made. The report has the same defect regarding the emails, leaving it unclear if there were 11 emails or thousands of emails exchanged as the City Council prepared for this controversial vote. Urban Markets implies that these miscellaneous contacts occurred over the course of the entire 4th Quarter, but provides no further specificity.

The purpose of the “Sunshine” laws was to make open and clear when this type of lobbying was occurring. Urban Markets continues to hide the ball, and conceal just how much lobbying it has engaged in and when it occurred.<sup>3</sup>

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<sup>3</sup> While no one from Urban Markets was present, it was ironic to see that Councilmember Sam Liccardo and Mayor Chuck Reed had time on their calendars on **December 3, 2008** for “press availability” regarding “San Pedro Square Urban West Project,” then they proceeded to a “Rules and Open Government Meeting.” (Exhibit 14.) One has to wonder if the applicability of these rules to Tom McEnery and Urban Markets was discussed at that meeting (“the McEnery exemption”).

Given the City's retention policy on electronic correspondence, and reluctance to produce emails, we may never know exactly what occurred before the City Council approved Urban Markets' project.

**B. The Form Is Incomplete and Does Not Identify Who is Engaged in Lobbying Activity on Behalf of Urban Markets**

None of the lobbyist reports filed with the City by Urban Markets actually identifies the individuals who engage in lobbying activity on behalf of Urban Markets. (Exhibits 1-5.) The instructions which accompany this form on the City's website state that the in-house lobbyists are to "identify the name of each owner, compensated officer, and compensated employee of the In-House Lobbyist engaged in lobbying activity on behalf of the In-House Lobbyist." (Exhibit 13, p. 4.) This portion of the report remains blank on every lobbyist report filed by Urban Markets to date.

**IV. CONCLUSION**

Despite the clear intent of the "Sunshine Laws," and Tom McEnergy's professed support for the City's lobbyist ordinances, his own lobbyist group continues to ignore the reporting requirements while receiving huge grants from the City of San Jose. A review of the conduct commencing as early as January, 2007, reveals one of the "biggest back room deals" in the City's recent history. This conduct must be investigated and remedied.

The irony of the McEnergy behavior is obvious. At a meeting of the San Jose Elections Commission on March 11, 2009, Tom McEnergy had this to say: "It's imperative, imperative that we have transparency and a clear understanding of who does what at City Hall. I've always supported that."

Really?

**SAN JOSE ELECTIONS COMMISSION  
ANONYMOUS COMPLAINT SECOND SUPPLEMENT  
INDEX OF EXHIBITS**

<b>EXHIBIT NO.</b>	<b>DESCRIPTION</b>
Exhibit 1	City of San Jose Lobbyist Report filed by Urban Markets, LLC, dated January 15, 2009.
Exhibit 2	City of San Jose Lobbyist Report filed by Urban Markets, LLC, dated May 29, 2008.
Exhibit 3	City of San Jose Lobbyist Report filed by Urban Markets, LLC, dated July 15, 2008.
Exhibit 4	City of San Jose Lobbyist Report Amendment filed by Urban Markets, LLC, dated July 23, 2008.
Exhibit 5	City of San Jose Lobbyist Report filed by Urban Markets, LLC, dated October 14, 2008.
Exhibit 6	Councilmember Judy Chirco's Calendar, October 2, 2008.
Exhibit 7	Mayor Chuck Reed's Calendar, October 25, 2008 through October 29, 2008.
Exhibit 8	Mayor's Chief of Staff Pete Furman's Calendar, October 24, 2008 through October 28, 2008.
Exhibit 9	Councilmember Pierluigi Oliverio's Calendar, October 27, 2008 through October 30, 2008.
Exhibit 10	Harry Mavrogenes' Calendar, November 4, 2008, and November 21, 2008 through November 24, 2008.

Exhibit 11	Mayor Chuck Reed's Calendar, December 20, 2008 through December 31, 2008.
Exhibit 12	Lobbyist Information - Forms and Instructions ( <a href="http://www.sanjoseca.gov/clerk/lobby/lobbyist.asp">www.sanjoseca.gov/clerk/lobby/lobbyist.asp</a> ).
Exhibit 13	Sample City of San Jose Lobbyist Report with Instructions.
Exhibit 14	Mayor Chuck Reed's Calendar, December 2, 2008 through December 3, 2008.

Exhibit

#1

**CITY OF SAN JOSE LOBBYIST REPORT**

SEE INSTRUCTIONS ON REVERSE  
File Original with the City Clerk

RECEIVED  
San Jose City Clerk

2009 JAN 15 P 3: 57

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Page 1 of 6

This is a Registration Report.

This is a Quarterly Report covering the period:

January 1-March 31, \_\_\_\_\_ (Due by Apr 15<sup>th</sup>)

April 1-June 30, \_\_\_\_\_ (Due by Jul 15<sup>th</sup>)

July 1-September 30, \_\_\_\_\_ (Due by Oct 15<sup>th</sup>)

October 1-December 31, 2008 (Due by Jan 15<sup>th</sup>)

This is an AMENDMENT to the Lobbyist Report filed: \_\_\_\_\_

This is a TERMINATION Report effective as of: \_\_\_\_\_

NAME OF LOBBYIST:

URBAN MARKETS, LLC

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

777 N. FIRST ST.  
SAN JOSE, CA 95112

TELEPHONE NUMBER:

(408) 295-6610

FAX NUMBER:

(408) 295-6615

MAILING ADDRESS: (If different than above)

P.O. BOX 68  
SAN JOSE, CA 95103

E-MAIL:

SJS@NETBATE.NET

REPORT FOR EACH CATEGORY OF LOBBYIST (check all that apply)

CONTRACT LOBBYIST

Specify how the Contract Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

Name of each person working for the Contract Lobbyist that is engaged in lobbying activity: \_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

IN-HOUSE LOBBYIST

Specify how the In-House Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other L.L.C.

Name of each owner, compensated officer, or compensated employee engaged in lobbying activity on behalf of the In-House Lobbyist: \_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

SARAH BROUILLETTE, MARTIN MENNE, JOHN MCENERY II, TOM MCENERY

EXPENDITURE LOBBYIST

Specify how the Expenditure Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

Name of each person working for the Expenditure Lobbyist that is engaged in lobbying activity (if applicable): \_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

SECTION II. IN-HOUSE LOBBYIST

THE NATURE OF THE BUSINESS, ORGANIZATION OR ASSOCIATION

Describe the nature and purpose of the business, organization or association.

DEVELOP AN URBAN MARKET IN SAN PEDRO SQUARE

THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE IN-HOUSE LOBBYIST SEEKS TO INFLUENCE

Describe in detail the legislative or administrative action(s) the In-House Lobbyist seeks to influence and the outcome sought.

- 1. SUPPORT FROM THE CITY
- 2. WORK TOWARDS AN OPA
- 3.
- 4.

CONTACT INFORMATION

City Official Contacted: PETE LARRO, JANET KERN Individual Who Made Contact: MARTIN MENNE, JOHN MCENERY

Identify Action: DISCUSSED URBAN MARKET

Date(s) of Contact: 10/3/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: PETE LARRO, HARRY MANGONES, JANET KERN Individual Who Made Contact: MARTIN MENNE, JOHN MCENERY

Identify Action: DISCUSSED URBAN MARKET

TOM MCENERY

Date(s) of Contact: 10/21/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: PAUL KRITZ Individual Who Made Contact: JOHN MCENERY, TOM MCENERY

Identify Action: URBAN MARKET PRESENTATION

Date(s) of Contact: 11/12/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: JANET KERN, PETE LARRO, JOHN WEISS, HARRY MANGONES Individual Who Made Contact: MARTIN MENNE, JOHN MCENERY

Identify Action: DISCUSSED URBAN MARKET

Date(s) of Contact: 11/24/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

CONTINGENT COMPENSATION DISCLOSURE

A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with owners, officers and employees engaged in lobbying activity on its behalf. This disclosure was filed by \_\_\_\_\_ (Name of Filer)

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

LOBBYIST CONTACT CONTINUATION SHEET (Section I, II or III)

**CONTACT INFORMATION**

City Official Contacted: PAUL KENTRO, PETE LARRO Individual Who Made Contact: JOHN MCENERY

Identify Action: DISCUSSED PUBLIC MARKET

Date(s) of Contact: 11/26/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: <sup>NANKY PYLE</sup>JUDY CHILCO, FORBES WILLIAMS Individual Who Made Contact: TOM MCENERY

Identify Action: 5-MINUTE STOP BY - URBAN MARKET

Date(s) of Contact: 12/8/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: <sup>PETE FURMAN, RUVENY KORN, HARRY MARGENES</sup>MAYOR REED, SAM LICARDO Individual Who Made Contact: CITY

Identify Action: WEST MARKET REVITALIZATION PLAN

Date(s) of Contact: 11/21/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: KAUSEN CHU Individual Who Made Contact: JOHN MCENERY, TOM MCENERY

Identify Action: URBAN MARKET PRESENTATION

Date(s) of Contact: 12/1/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: MADISON NGUYEN Individual Who Made Contact: MARTIN MENNE, SARAH BROUILLETTE, JOHN MCENERY, TOM MCENERY

Identify Action: URBAN MARKET PRESENTATION

Date(s) of Contact: 12/8/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: <sup>HARRY MARGENES, PETE LARRO, CHUCK REED</sup>SAM LICARDO, PIERLUIGI OLIVERIO Individual Who Made Contact: JOHN MCENERY, TOM MCENERY

Identify Action: MISC BRIEF PHONE CONVERSATIONS ABOUT URBAN MARKET

Date(s) of Contact: OCT 1 - DEC 31 2008

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: <sup>ALLAN ISHIBASHI, JANET KERR, WALTER RISK</sup>HARRY MARGENES, PETE LARRO Individual Who Made Contact: JOHN MCENERY, TOM MCENERY, MARTIN MENNE

Identify Action: MISC BRIEF EMAILS ABOUT URBAN MARKET

Date(s) of Contact: OCT 1 - DEC 31 2008

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

IV. ADDITIONAL DISCLOSURES

- I have reviewed the schedules and forms and I have nothing to report.
- Attached to this Report is/are the following Schedule(s) or Form(s): (check all that apply)
  - Schedule A – Campaign/Officeholder Contributions, Independent Expenditure, Fundraising, Donation
  - Schedule B – Payment for Consultant or Other Services
  - Schedule C – Activity Expenses
  - Form D – Lobbyist Disclosure of Contingent Compensation (May File Separately)
  - Form E – Lobbyist Annual Registration and Client Renewal
  - Form F – Contract Lobbyist Client Notice of Termination (May File Separately)
  - Form G – Contract Lobbyist Notice of New Client (May File Separately)

FEES DUE

<input type="checkbox"/> Lobbyist Registration Fee (January 1 – June 29) (\$350)	\$ _____
<input type="checkbox"/> Pro -Rated Registration Fee (June 30 – December 31) (\$175)	\$ _____
<input type="checkbox"/> Contract Lobbyist Client Fee (\$60) x Number of Clients (_____)	\$ _____
<input checked="" type="checkbox"/> Form E: Annual Lobbyist Registration Renewal (\$350)	\$ <u>350.00</u>
<input type="checkbox"/> Form E: Annual Contract Lobbyist Client Fee Renewal (\$60) x Number of Clients (_____)	\$ _____
Total Payment Due With Report	\$ <u>350.00</u>

Note: Consult with the Office of the City Clerk to determine if you are subject to late fees in addition to the payment due above.

VERIFICATION

I certify that I have been authorized by the Lobbyist identified above to make this verification. I have reviewed the requirements of the provisions of the San Jose Municipal Code (Chapter 12.12). I certify under penalty of perjury under the laws of the State of California that I have reviewed this Lobbyist Report and to the best of my knowledge the information contained herein is true and complete.

Print Name JOHN P. MCENERY IV Title MANAGING PARTNER

Signature  Executed On 1/15/09  
(month, day, year)

**FORM E**

**LOBBYIST ANNUAL REGISTRATION  
AND CLIENT RENEWAL**

(SJMCA §§ 12.12.400.B and 12.12.440.C)

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This Renewal covers January 1 through December 31, 2009  
(year)

Page 6 of 6

NAME OF LOBBYIST:

URBAN MARKETS, LLC

TELEPHONE NUMBER:

(408) 295-6610

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

777 N. FIRST ST. SUITE 600  
SAN JOSE, CA 95112

FAX NUMBER:

(408) 295-6615

MAILING ADDRESS: (If different than above)

E-MAIL:

SPSC@NETDATE.NET

**CLIENT INFORMATION (CONTRACT LOBBYIST ONLY)**

Client Name, Address, and Telephone Number	Effective Date
<u>N/A</u>	

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Exhibit

#2

**CITY OF SAN JOSE LOBBYIST REPORT**

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2008 MAY 29 P 3:53



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Page 1 of 3.

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July 1-September 30, \_\_\_\_\_ (Due by Oct 15<sup>th</sup>)

October 1-December 31, \_\_\_\_\_ (Due by Jan 15<sup>th</sup>)

This is an AMENDMENT to the Lobbyist Report filed: \_\_\_\_\_

This is a TERMINATION Report effective as of: \_\_\_\_\_

NAME OF LOBBYIST:

URBAN MARKETS, LLC

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

777 N. FIRST ST. SUITE 600  
SAN JOSE, CA 95112

TELEPHONE NUMBER:

(408) 295-6610

FAX NUMBER:

(408) 295-6615

MAILING ADDRESS: (If different than above)

P.O. Box 68  
SAN JOSE, CA 95103

E-MAIL:

SPS@NETGATE.NET

CITY OF SAN JOSE LOBBYIST REPORT

REPORT FOR EACH CATEGORY OF LOBBYIST: (Check all that apply)

CONTRACT LOBBYIST

Specify how the Contract Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

Name of each person working for the Contract Lobbyist that is engaged in lobbying activity: \_\_\_\_\_

\_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

\_\_\_\_\_

IN-HOUSE LOBBYIST

Specify how the In-House Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other LLC.

Name of each owner, compensated officer, or compensated employee engaged in lobbying activity on behalf of the In-House Lobbyist: \_\_\_\_\_

\_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: SARAH MARTIN MENNE, BARRY SWENSON, JOHN MCENERY II, TOM MCENERY, BROUILLETTE,

EXPENDITURE LOBBYIST

Specify how the Expenditure Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

Name of each person working for the Expenditure Lobbyist that is engaged in lobbying activity (if applicable): \_\_\_\_\_

\_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

\_\_\_\_\_

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**IV. ADDITIONAL DISCLOSURES**

- I have reviewed the schedules and forms and I have nothing to report.
- Attached to this Report is/are the following Schedule(s) or Form(s): (check all that apply)
  - Schedule A – Campaign/Officeholder Contributions, Independent Expenditure, Fundraising, Donation
  - Schedule B – Payment for Consultant or Other Services
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  - Form G – Contract Lobbyist Notice of New Client (May File Separately)

**V. FEES DUE**

- Lobbyist Registration Fee (January 1 – June 29) (\$350) \$ 350.00
  - Pro -Rated Registration Fee (June 30 – December 31) (\$175) \$ \_\_\_\_\_
  - Contract Lobbyist Client Fee (\$60) x Number of Clients (\_\_\_\_\_) \$ \_\_\_\_\_
  - Form E: Annual Lobbyist Registration Renewal (\$350) \$ \_\_\_\_\_
  - Form E: Annual Contract Lobbyist Client Fee Renewal (\$60) x Number of Clients (\_\_\_\_\_) \$ \_\_\_\_\_
- Total Payment Due With Report \$ 350.00

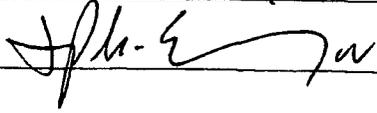
**Note:** Consult with the Office of the City Clerk to determine if you are subject to late fees in addition to the payment due above.

**VERIFICATION**

I certify that I have been authorized by the Lobbyist identified above to make this verification. I have reviewed the requirements of the provisions of the San Jose Municipal Code (Chapter 12.12). I certify under penalty of perjury under the laws of the State of California that I have reviewed this Lobbyist Report and to the best of my knowledge the information contained herein is true and complete.

Print Name JOHN P. MCENERY IV

Title MANAGING PARTNER

Signature 

Executed On 5/29/08  
(month, day, year)

Exhibit

#3

# CITY OF SAN JOSE LOBBYIST REPORT

SEE INSTRUCTIONS ON REVERSE

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Page 1 of 4

- This is a Registration Report.
- This is a Quarterly Report covering the period:
  - January 1-March 31, \_\_\_\_\_ (Due by Apr 15<sup>th</sup>)
  - April 1-June 30, 2008 (Due by Jul 15<sup>th</sup>)
  - July 1-September 30, \_\_\_\_\_ (Due by Oct 15<sup>th</sup>)
  - October 1-December 31, \_\_\_\_\_ (Due by Jan 15<sup>th</sup>)

This is an AMENDMENT to the Lobbyist Report filed: \_\_\_\_\_

This is a TERMINATION Report effective as of: \_\_\_\_\_

NAME OF LOBBYIST:

**URBAN MARKETS, LLC**

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

**777 N. FIRST ST. SUITE 600  
SAN JOSE, CA 95112**

TELEPHONE NUMBER:

**(408) 295-6610**

FAX NUMBER:

**(408) 295 6615**

MAILING ADDRESS: (If different than above)

**PO BOX 68  
SAN JOSE, CA 95103**

E-MAIL:

**SPS@NETLATE.NET**

REPORT FOR EACH CATEGORY OF LOBBYIST: (Check all that apply)

CONTRACT LOBBYIST

Specify how the Contract Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

Name of each person working for the Contract Lobbyist that is engaged in lobbying activity: \_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

IN-HOUSE LOBBYIST

Specify how the In-House Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other LLC

Name of each owner, compensated officer, or compensated employee engaged in lobbying activity on behalf of the In-House Lobbyist: \_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

SARAH BROUILLETTE, MARTIN MEUNE, BARRY SWENSON, JOHN McENERY IV, TOM McENERY

EXPENDITURE LOBBYIST

Specify how the Expenditure Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

Name of each person working for the Expenditure Lobbyist that is engaged in lobbying activity (if applicable): \_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

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SECTION II. IN-HOUSE LOBBYIST

THE NATURE OF THE BUSINESS ORGANIZATION OR ASSOCIATION

Describe the nature and purpose of the business, organization or association.

DEVELOP AN URBAN MARKET IN SAN PEDRO SQUARE

THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE IN-HOUSE LOBBYIST SEEKS TO INFLUENCE

Describe in detail the legislative or administrative action(s) the In-House Lobbyist seeks to influence and the outcome sought.

- 1. SUPPORT FROM THE CITY TO ACHIEVE THE NATURE OF OUR BUSINESS (DESCRIBED ABOVE).
- 2. WORK TOWARDS A DDA.
- 3.
- 4.

CONTACT INFORMATION

City Official Contacted: <sup>HARRY MANUENS, WALTER ASK</sup> ~~CHRISTINE VELASQUEZ, DOLORES MELLON~~ Individual Who Made Contact: <sup>JOHN MCENERY, TOM MCENERY</sup> ~~SARAH BROUILLETTE~~  
 Identify Action: DISCUSSED URBAN MARKET CONCEPTS  
 Date(s) of Contact: FEBRUARY 28, 2008  
 Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: LESLIE PARYS Individual Who Made Contact: JOHN MCENERY  
 Identify Action: DISCUSSED PROFORMA OF URBAN MARKET ; IDENTIFIED CONTEXT  
 Date(s) of Contact: MARCH 12, 2008  
 Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: <sup>HARRY MANUENS, LESLIE PARYS</sup> ~~GERALD GRENELLE~~ Individual Who Made Contact: JOHN ; TOM MCENERY  
 Identify Action: STATUS REPORT UPDATE ; COST ESTIMATES  
 Date(s) of Contact: APRIL 24, 2008  
 Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: PETE WATKO, ALLEN ISHIBASHI Individual Who Made Contact: JOHN MCENERY, MARTIN MENUE  
 Identify Action: REVIEWED PROGRESS PLANS & MODEL  
 Date(s) of Contact: MAY 14, 2008  
 Contacts  (1)  (2-5)  (6-10)  (11 or more)

CONTINGENT COMPENSATION DISCLOSURE

A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with owners, officers and employees engaged in lobbying activity on its behalf. This disclosure was filed by \_\_\_\_\_ (Name of Filer)

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

IV. ADDITIONAL DISCLOSURES

I have reviewed the schedules and forms and I have nothing to report.

Attached to this Report is/are the following Schedule(s) or Form(s): (check all that apply)

Schedule A – Campaign/Officeholder Contributions, Independent Expenditure, Fundraising, Donation

Schedule B – Payment for Consultant or Other Services

Schedule C – Activity Expenses

Form D – Lobbyist Disclosure of Contingent Compensation (May File Separately)

Form E – Lobbyist Annual Registration and Client Renewal

Form F – Contract Lobbyist Client Notice of Termination (May File Separately)

Form G – Contract Lobbyist Notice of New Client (May File Separately)

V. FEES DUE

Lobbyist Registration Fee (January 1 – June 29) (\$350) \$ \_\_\_\_\_

Pro -Rated Registration Fee (June 30 – December 31) (\$175) \$ \_\_\_\_\_

Contract Lobbyist Client Fee (\$60) x Number of Clients (\_\_\_\_\_) \$ \_\_\_\_\_

Form E: Annual Lobbyist Registration Renewal (\$350) \$ \_\_\_\_\_

Form E: Annual Contract Lobbyist Client Fee Renewal (\$60) x Number of Clients (\_\_\_\_\_) \$ \_\_\_\_\_

Total Payment Due With Report \$ \_\_\_\_\_

Note: Consult with the Office of the City Clerk to determine if you are subject to late fees in addition to the payment due above.

VERIFICATION

I certify that I have been authorized by the Lobbyist identified above to make this verification. I have reviewed the requirements of the provisions of the San Jose Municipal Code (Chapter 12.12). I certify under penalty of perjury under the laws of the State of California that I have reviewed this Lobbyist Report and to the best of my knowledge the information contained herein is true and complete.

Print Name JOHN McENERY II

Title MANAGING PARTNER

Signature 

Executed On 7/15/08  
(month, day, year)

Exhibit

#4

# CITY OF SAN JOSE LOBBYIST REPORT

SEE INSTRUCTIONS ON REVERSE  
File Original with the City Clerk

RECEIVED  
San Jose City Clerk

2008 JUL 23 P 1:20



For Official Use Only

Page 1 of 4

- This is a Registration Report.
- This is a Quarterly Report covering the period:
  - January 1-March 31, \_\_\_\_\_ (Due by Apr 15<sup>th</sup>)
  - April 1-June 30, \_\_\_\_\_ (Due by Jul 15<sup>th</sup>)
  - July 1-September 30, \_\_\_\_\_ (Due by Oct 15<sup>th</sup>)
  - October 1-December 31, \_\_\_\_\_ (Due by Jan 15<sup>th</sup>)
- This is an AMENDMENT to the Lobbyist Report filed: JULY 15, 2008
- This is a TERMINATION Report effective as of: \_\_\_\_\_

NAME OF LOBBYIST:

URBAN MARKETS, LLC

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

777 N. FIRST ST, SUITE 600  
SAN JOSE, CA 95112

TELEPHONE NUMBER:

(408) 295-6610

FAX NUMBER:

(408) 295-6615

MAILING ADDRESS: (If different than above)

P.O. Box 68  
SAN JOSE, CA 95103

E-MAIL:

SPS@NETLATE.NET

REPORT FOR EACH CATEGORY OF LOBBYIST (Check all that apply)

CONTRACT LOBBYIST

Specify how the Contract Lobbyist is organized:

- Sole Proprietorship
- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

Name of each person working for the Contract Lobbyist that is engaged in lobbying activity: \_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

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Specify how the In-House Lobbyist is organized:

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SARAH BRVILLETT, MARTIN MENNE, BARRY SWENSON, JOHN McENERY II, TOM McENERY

EXPENDITURE LOBBYIST

Specify how the Expenditure Lobbyist is organized:

- Sole Proprietorship
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- For-Profit Corporation
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SECTION II. IN-HOUSE LOBBYIST

THE NATURE OF THE BUSINESS, ORGANIZATION OR ASSOCIATION

Describe the nature and purpose of the business, organization or association.

DEVELOP AN URBAN MARKET IN SAN PEDRO SQUARE

THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE IN-HOUSE LOBBYIST SEEKS TO INFLUENCE

Describe in detail the legislative or administrative action(s) the In-House Lobbyist seeks to influence and the outcome sought.

1. WORK TOWARDS A DDA

- 2.
3.
4.

CONTACT INFORMATION

City Official Contacted: JOHN WEISS, DEAN MUNOZ, AN WELBYAN, HARRY MAVEGENES Individual Who Made Contact: MARTIN MEUNE, JOHN, TOM MCENERY

Identify Action: INTRODUCTION TO URBAN MARKET CONCEPT FOR SAN PEDRO SQUARE

Date(s) of Contact: NOV. 14, 2007

Contacts [X] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

City Official Contacted: EDESA BITABAL Individual Who Made Contact: JOHN MCENERY

Identify Action: GENERAL DISCUSSION ON DOWNTOWN ISSUES INCLUDING URBAN MARKET CONCEPT

Date(s) of Contact: JUNE 18, 2008

Contacts [X] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

City Official Contacted: HARRY MAVEGENES Individual Who Made Contact: JOHN, TOM MCENERY

Identify Action: URBAN MARKET MAINTENANCE, SITES, RESIDENTIAL COMPONENTS

Date(s) of Contact:

Contacts [X] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

City Official Contacted: Individual Who Made Contact:

Identify Action:

Date(s) of Contact:

Contacts [ ] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

CONTINGENT COMPENSATION DISCLOSURE

[ ] A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with owners, officers and employees engaged in lobbying activity on its behalf. This disclosure was filed by (Name of Filer)

[ ] This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

IV. ADDITIONAL DISCLOSURES

I have reviewed the schedules and forms and I have nothing to report.

Attached to this Report is/are the following Schedule(s) or Form(s): (check all that apply)

Schedule A - Campaign/Officeholder Contributions, Independent Expenditure, Fundraising, Donation

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Schedule C - Activity Expenses

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Form E: Annual Contract Lobbyist Client Fee Renewal (\$60) x Number of Clients (\_\_\_\_\_) \$ \_\_\_\_\_

Total Payment Due With Report \$ \_\_\_\_\_

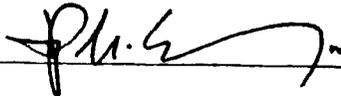
Note: Consult with the Office of the City Clerk to determine if you are subject to late fees in addition to the payment due above.

VERIFICATION

I certify that I have been authorized by the Lobbyist identified above to make this verification. I have reviewed the requirements of the provisions of the San Jose Municipal Code (Chapter 12.12). I certify under penalty of perjury under the laws of the State of California that I have reviewed this Lobbyist Report and to the best of my knowledge the information contained herein is true and complete.

Print Name JOHN P. MCENERY IV

Title MANAGING PARTNER

Signature 

Executed On 7/22/08

(month, day, year)

Exhibit #5

---

RECEIVED

CITY OF SAN JOSE LOBBYIST REPORT San Jose City Clerk



SEE INSTRUCTIONS ON REVERSE  
File Original with the City Clerk

2008 OCT 14 P 4: 03

For Official Use Only

Page 1 of 5

- This is a Registration Report.
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NAME OF LOBBYIST:

URBAN MARKETS, LLC

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

777 N. FIRST ST. SUITE 600  
SAN JOSE, CA 95112

TELEPHONE NUMBER:  
(408) 295-6610

FAX NUMBER:  
(408) 295-6615

MAILING ADDRESS: (If different than above)

P.O. BOX 68  
SAN JOSE, CA 95103

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REPORT FOR EACH CATEGORY OF LOBBYIST (Check all that apply)

CONTRACT LOBBYIST

Specify how the Contract Lobbyist is organized:

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- Partnership
- Non-Profit Corporation
- For-Profit Corporation
- Other \_\_\_\_\_

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\_\_\_\_\_

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\_\_\_\_\_

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SARAH BROUILLETTE, MARTIN MENNE, BARRY SWENSON, JOHN MCENERY IV, TOM MCENERY

EXPENDITURE LOBBYIST

Specify how the Expenditure Lobbyist is organized:

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- For-Profit Corporation
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\_\_\_\_\_

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SECTION II. IN-HOUSE LOBBYIST

THE NATURE OF THE BUSINESS ORGANIZATION OR ASSOCIATION

Describe the nature and purpose of the business, organization or association.

DEVELOP AN URBAN MARKET IN SAN PEDRO SQUARE

THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE IN-HOUSE LOBBYIST SEEKS TO INFLUENCE

Describe in detail the legislative or administrative action(s) the In-House Lobbyist seeks to influence and the outcome sought.

1. SUPPORT FROM THE CITY

2. WORK TOWARDS AN OPA

3.

4.

CONTACT INFORMATION

WALTER BAY, HARRY MANVOGENES, CESAR TORRES

City Official Contacted: JANET KERN, ASI MANVAR Individual Who Made Contact: JOHN MCENERY, TOM MCENERY

Identify Action: DISCUSSED URBAN MARKET MARTIN MEUNE

Date(s) of Contact: 8/6/08

Contacts [x] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

City Official Contacted: HARRY MANVOGENES Individual Who Made Contact: JOHN MCENERY, TOM MCENERY

Identify Action: DISCUSSED URBAN MARKET MARTIN MEUNE

Date(s) of Contact: 9/2/08

Contacts [x] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

City Official Contacted: PETE LARVO, ALAN ISHIBASHI Individual Who Made Contact: JOHN MCENERY, MARTIN MEUNE

Identify Action: DISCUSSED URBAN MARKET

Date(s) of Contact: 9/9/08

Contacts [x] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

PETE LARVO, JOHN WEIS, JANET KERN

City Official Contacted: HARRY MANVOGENES Individual Who Made Contact: JOHN MCENERY, TOM MCENERY

Identify Action: DISCUSSED PUBLIC MARKET MARTIN MEUNE

Date(s) of Contact: 9/24/08

Contacts [x] (1) [ ] (2-5) [ ] (6-10) [ ] (11 or more)

CONTINGENT COMPENSATION DISCLOSURE

[ ] A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with owners, officers and employees engaged in lobbying activity on its behalf. This disclosure was filed by \_\_\_\_\_

(Name of Filer)

[x] This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

CONTACT INFORMATION

City Official Contacted: SAM LILLARDO Individual Who Made Contact: JOHN MCENERY, TOM MCENERY

Identify Action: DISCUSSED URBAN MARKET

Date(s) of Contact: 9/27/08

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

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Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

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Contacts  (1)  (2-5)  (6-10)  (11 or more)

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Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

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Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

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**IV. ADDITIONAL DISCLOSURES**

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Total Payment Due With Report \$ \_\_\_\_\_

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Print Name JOHN McENERY II

Title MANAGING PARTNER

Signature 

Executed On 10/14/08  
(month, day, year)

Exhibit #6

---

----- Wednesday, October 01, 2008 -----

**Ru Briefing Scott Green, Chief of Staff**

10:00 AM-10:30 AM

**Kiwanas Lunch**

11:30 AM-2:00 PM

**Rules and Open Government**

2:00 PM-4:00 PM (Rooms Wing, 118 119)

----- Thursday, October 02, 2008 -----

**Phaedra Ellis-Lamkins, Bob Brownstein, Mary Creedman, Scott Green, Chief of Staff re: Living wage**

9:00 AM-9:45 AM (CM Chirco's office)

**NS & E Committee Briefing with Peter Hamilton and Diana Miller**

10:30 AM-11:00 AM

**11:30 Under-Utilized City-Owned Property - Councilmember Chirco, Chief of Staff Scott Green, Deputy City Manager Ed Shikada & Deputy Director Phil Prince**

11:30 AM-12:00 PM (Councilmember Chirco's Office)

**Meeting w/Sam Liccardo**

1:30 PM-2:00 PM (Sam's Office)

**Campell Mayor Don Burr; City Manager Dan Rich; re: Campbell Annexation Issue**

2:00 PM-2:30 PM (CM Chirco's office )

**Diana Miller 1:1**

2:00 PM-3:00 PM

**T & E committee briefing for 10/6 mtg with Scott Green, Chief of Staff**

3:00 PM-3:30 PM

**Tom McEnery re: Meet and Greet**

4:00 PM-4:30 PM (O, Flaretys in San Pedro Square)

**KidZone Community Meeting**

6:30 PM-8:00 PM (Camden Community Center )

----- Friday, October 03, 2008 -----

**Agenda Review Scott Green, Chief of Staff**

10:00 AM-12:00 PM

----- Saturday, October 04, 2008 -----

**Spirit of Japantown Festival**

11:45 AM-2:00 PM (Third Street & Jackson St.)

**Robert Browning Learning Center Building Dedication**

1:30 PM-3:30 PM (Youth Science Institute - Vasona, 333 Blossom Hill Drive, 95032)

---- Monday, October 06, 2008 -----

**Diana Jamison re: scheduling etc.**

9:00 AM-9:30 AM

Exhibit  
#7

---

Type of Event: Meeting with Harry Mavrogenes, Executive Director, San José Redevelopment Agency

Topic: Upcoming issues

Staff: Pete Furman, Chief of Staff; Dean Munro, Chief of Staff

**No. Campos, Councilmember**

▶ 4:00 PM-4:15 PM

Phone call with Nora Campos

Topic: Upcoming Topics

**Lt. John Carr Retirement**

▶ 5:15 PM-5:45 PM

Type of Event: Retirement for Lt. John Carr, Sr. (28 years of service)

**Latina Coalition Event - Breaking the Glass Ceiling**

▶ 6:00 PM-6:30 PM

Type of Event: Breaking the Glass Ceiling Honoring Olga Martin Steele, Sponsored by Latina Coalition of Silicon Valley & Hispanas Organization for Political Equality

Staff: Melanie Jimenez, Policy Analyst

**NeighborWorks Community Leadership Institute Dinner Event**

▶ 6:45 PM-7:15 PM

Type of Event: NeighborWorks Community Leadership Institute Dinner Event

Staff: Melanie Jimenez, Policy Analyst

----- **Saturday, October 25, 2008** -----

**Dedication of Penitencia Creek Trail to the Bay Area Ridge Trail**

▶ 10:00 AM-11:00 AM

Type of Event: Dedication of Penitencia Creek Trail to the Bay Area Ridge Trail

**Dia de los Muertos Celebration**

▶ 5:30 PM-6:00 PM

Somos Mayfair's Dia de los Muertos Celebration

Michelle McGurk, Mayor's Public Information Officer

**Light the Night - Lymphoma and Leukemia Foundation Walk**

▶ 6:15 PM-7:00 PM

Type of Event: Light the Night - Lymphoma and Leukemia Foundation Walk

Requirements of Mayor: Welcome remarks

----- **Sunday, October 26, 2008** -----

**Denis Theriault, Mercury News**

▶ 4:30 PM-5:00 PM

Type of Event: Phone interview with Denis Theriault, Mercury News

Topic: Living Wage at the Airport

----- **Monday, October 27, 2008** -----

▶ 7:10 AM-7:30 AM (every Monday from 7:10 AM to 7:30 AM)

Interview with KLIV - George Sampson

Topic: Current Events

**Senior Staff Meeting**

▶ 8:30 AM-10:00 AM

Type of Event: Recurring Status Meeting

Topic: Upcoming events

Attendees:

Pete Furman, Chief of Staff

Jeff Janssen, Senior Policy Advisor, Government Affairs

Armando Gomez, Senior Policy Advisor, Budget and Finance

Michelle McGurk, Public Information Officer

Ru Weerakoon, Senior Policy Advisor, Economic Development

Alicia Bosco, Policy Analyst

**City Manager/City Attorney/Executive Director Redevelopment Agency**

▶ 10:00 AM-11:00 AM

Type of Event: Recurring Status meeting

Topic: Upcoming topics

Debra Figone, City Manager; Rick Doyle, City Attorney; Harry Mavrogenes, Executive Director  
Redevelopment Agency

**City Manager with Senior Staff Meeting**

▶ 11:00 AM-12:00 PM

Type of Event: City Manager with Senior Staff Meeting

Topic: Monthly Update on Development Process; Graffiti Program .

**TV Interview with Ms. Krista Rannamae, Estonian Journalist**

▶ 1:30 PM-2:00 PM

Type of Event: In-person TV Interview with Ms. Krista Rannamae, Estonian Journalist

Topic: Silicon Valley

Staff: Michelle McGurk, Public Information Officer

Attendees: Ms. Krista Rannamae – Producer

Mr. Tauno Peit – Camera

Mr. Neeme Raud – Correspondent

**Meeting with Developer Chop Keenan**

▶ 2:00 PM-2:30 PM

Type of Event: Meeting with Developer Chop Keenan

Topic: Land use

**Armando Gomez; Antonio Guerra**

▶ 3:00 PM-3:30 PM

Type of Event: Meeting with staff members Armando Gomez, Sr. Policy Advisor, Budget; Antonio Guerra,  
Policy Analyst

Topic: Sunshine Reform

**Ru Weerakoon, Senior Policy Advisor, Economic Development**

▶ 3:30 PM-4:00 PM

Type of Event: Recurring Status Meeting

Topic: Upcoming events

Staff: Ru Weerakoon, Senior Policy Advisor, Economic Development; Pete Furman, Chief of Staff

**Vice Mayor Dave Cortese**

▶ 4:00 PM-4:45 PM

Type of Event: Recurring Status meeting  
Topics: Upcoming Events  
Pete Furman, Chief of Staff; Rabia Chaudhry, Chief of Staff

**Tom McEnery**

▶ 5:30 PM-6:30 PM

Type of Event: Meeting with Tom McEnery  
Topic: Downtown Issues

----- Tuesday, October 28, 2008 -----

**Rick Doyle, City Attorney**

▶ 8:30 AM-9:00 AM

Type of Event: Recurring status meeting  
Topic: Upcoming topics

**Closed Session**

▶ 9:00 AM-11:30 AM

Type of Event: City Council meeting  
Topics: See Council Meeting Agenda

**Calendar Review**

▶ 11:30 AM-12:00 PM

Type of Event: Review calendar/upcoming events/weekends  
Staff: Pete Furman; Chief of Staff; Celia Miller, Schedule Coordinator

**City Council Meeting**

▶ 1:30 PM-5:30 PM

Type of Event: City Council meeting  
Topics: See Council Meeting Agenda

**City Council Evening Meeting**

▶ 7:00 PM-8:30 PM

Type of Event: City Council meeting  
Topics: See Council Meeting Agenda

----- Wednesday, October 29, 2008 -----

**Senior Staff Meeting**

▶ 8:30 AM-10:00 AM

Type of Event: Recurring Status Meeting  
Topic: Upcoming events

**Attendees:**

Pete Furman, Chief of Staff  
Jeff Janssen, Sr. Policy Advisor, Government Affairs  
Michelle McGurk, Public Information Officer  
Ru Weerakoon, Sr. Policy Advisor, Economic Development  
Alicia Bosco, Policy Analyst

**Brocade Topping Off Ceremony**

▶ 10:45 AM-11:30 AM

Type of Event: Brocade Topping Off Ceremony

**YWCA Annual Luncheon**

▶ 12:00 PM-1:30 PM

Type of Event: Attend YWCA Annual Luncheon

**Rules and Open Government Meeting**

Exhibit  
#8

---

----- Friday, October 24, 2008 -----

**Updated: Armando Gomez, Senior Policy Advisor, Budget & Finance**

▶ 8:00 AM-9:00 AM

Type of Event: 1:1 Weekly meeting Mayor Reed w/ Armando Gomez  
Topic: upcoming topics/ events

**Updated: Chief Davis**

▶ 9:00 AM-9:30 AM

Type of Event: 1:1 meeting Mayor Reed w/ Chief Davis  
Topic: Upcoming events  
Staff: Melanie Jimenez/Michelle McGurk/Pete Furman

**Updated: Michelle McGurk**

▶ 10:00 AM-11:00 AM

Type of Event: Weekly 1:1 Mtg. Mayor Reed w/ Michelle McGurk  
Topic: upcoming topics/ events

**Victor Garza and Sal Alvarez**

▶ 11:00 AM-11:45 AM

Type of Event: Meeting - Victor Garza and Sal Alvarez with Mayor Reed  
Topic: Police Reports  
Staff: Armando Gomez, Pete Furman

**Updated: Industrial Land Conversion Meeting**

▶ 2:30 PM-3:00 PM

Type of Event: Mayor Reed Meeting with OC MacDonald and Councilmember Pierluigi Oliverio  
Staff: Ru Weerakoon, Senior Policy Advisor, Economic Development; Pete Furman; Denelle Fedor, Chief of Staff D6  
Outside Attendees (names and titles): Jim MacDonald, Owner, OC MacDonald Co.  
Topic: Industrial Land Conversion

**Updated: Harry Mavrogenes**

▶ 3:00 PM-4:00 PM

Beginning August 8, standing meeting with Harry will be every Friday from 1:00-2:00  
Type of Event: 1:1 Mayor Reed with Harry Mavrogenes, Executive Director, Redevelopment Agency  
Topic: upcoming Topics

Staff: Pete Furman/Ru Weerakoon, Senior Policy Advisor  
Dean Munro, Chief of Staff for RDA

----- Monday, October 27, 2008 -----

**Senior Staff Mtg**

▶ 8:30 AM-10:00 AM

Type of Event: recurring  
Topic: upcoming topics/ events  
Staff:

Mayor Reed  
Armando Gomez, Senior Policy Advisor, Budget & Finance  
Ru Weerakoon, Senior Policy Advisor, Economic Development  
Jeff Janssen, Senior Policy Advisor, Government Affairs  
Michelle McGurk, Public Information Office  
Alicia Bosco, Council Agenda Coordinator

**Updated: City Manager/Redevelopment Agency Executive Director/City Attorney**

Calendar

▶ 10:00 AM-11:00 AM

Type of Event: Recurring Weekly meeting

Topic: Upcoming topics

Staff: Deb Figone, Harry Mavrogenes, Rick Doyle, Pete Furman  
Alex Gurza and Mark Danaj

**Updated: City Manager with Senior Staff Meeting**

▶ 11:00 AM-12:00 PM

Type of Event: Recurring Status Meeting

Topic: Monthly Update on Development Process; Graffiti Program

**Chop Keenan**

▶ 2:00 PM-2:30 PM

Type of Event: Meeting with Developer Chop Keenan

Topic: Land use

Staff: Mayor Reed, Pete Furman, Ru Weerakoon

**Ru Weerakoon, Senior Policy Advisor, Economic Development**

▶ 3:30 PM-4:00 PM

Type of Event: 1:1 Weekly meeting Mayor Reed w/ Ru Weerakoon

Topics: Upcoming Topics/ events

**Dave Cortese, Vice Mayor**

▶ 4:00 PM-4:45 PM

Type of Event: Recurring Meeting - Mayor Reed w/ Vice Mayor Cortese

Topic: Upcoming topics/ events

**Tom Mcenery**

▶ 5:30 PM-6:30 PM

Type of Event: Mayor Reed w/ Tom McEnery

Topic: Downtown issues

**General Plan 2040 Mtg.**

6:30 PM-9:00 PM (Wing 118-120)

----- Tuesday, October 28, 2008 -----

---

**Updated: Rick Doyle, City Attorney**

▶ 8:30 AM-9:00 AM

Type of Event: Weekly 1:1 - Mayor Reed w/ Rick Doyle

Topic: Upcoming Topics

**Bob Brownstein, Policy Director, Working Partnerships & Mary Creasman**

▶ 9:00 AM-9:30 AM (Conf. room 1853)

Topic: Airport Living Wage

Staff: Jeff Janssen, Senior Policy Advisor, Economic Development

**1:1 Alicia Bosco, Council Agenda Coordinator**

▶ 10:30 AM-11:00 AM (Pete's Office)

Type of Event: recurring

Topic: Council Agenda

**Updated: Calendar Review**

▶ 11:00 AM-11:45 AM

Type of Event: Review calendar/upcoming events/weekends

Staff: Mayor Reed; Pete Furman; Celia Miller, Mayor's Scheduler

Exhibit

#9

Calendar

8:45 AM-12:00 PM (Willow Glen High School, 2001 Cottle Ave)

**Willow Glen High School Varsity Game**

1:30 PM-3:30 PM (Willow Glen High School, 2001 Cottle Ave.)

----- Monday, October 27, 2008 -----

**Office Time**

3:00 PM-4:00 PM (Councilmember Pierluigi Oliverio's Office, City of San Jose, Council District 6, 200 E. Santa Clara St., 18th Floor Tower)

**Neighborhoods of Distinction Mtg w-Councilmember Pierluigi Oliverio, Denelle Fedor, Director, D6, Joe Horwedel (Optional), Director, Laurel Prevetti, Asst Director (Optional), Akoni Danielsen, Hadasa Lev, Sally Notthoff Zarnowitz, PBCE**

4:00 PM-5:00 PM (City of San Jose, 200 E. Santa Clara St., 18th Floor Tower)

**Office Time**

5:00 PM-6:30 PM (Councilmember Pierluigi Oliverio's Office, City of San Jose, Council District 6, 200 E. Santa Clara St., 18th Floor Tower)

**Envision SJ 2040 Task Force Mtg**

6:30 PM-9:00 PM (City of San Jose, 200 E. Santa Clara St.)

**Meeting to Discuss Downtown San Jose w-Tom McEnery, Councilmember Dave Cortese, District 8 & Councilmember Pete Constant, District 1**

9:00 PM-10:00 PM (Starbucks)

----- Tuesday, October 28, 2008 -----

**Closed Session**

9:00 AM-11:00 AM (City of San Jose, 200 E. Santa Clara St., Council Chambers Conf. Rm W133)

**City Council Meeting**

1:30 PM-5:00 PM (City of San Jose, 200 E. Santa Clara St., City Council Chambers)

**City Council Meeting**

7:00 PM-10:00 PM (City of San Jose, 200 E. Santa Clara St., City Council Chambers)

----- Wednesday, October 29, 2008 -----

**YWCA of Silicon Valley Annual Luncheon**

11:00 AM-1:30 PM (San Jose Convention Center)

**Rules**

2:00 PM-3:30 PM (City of San Jose)

**Redevelopment Project on Lincoln Ave Mtg w-Councilmember Pierluigi Oliverio, Alex Byer, Charles Kahn, Joe Horwedel, PBCE, Ed Shikada - Staff & Denelle Fedor, Director, Council District 6**

4:00 PM-5:00 PM (City of San Jose, 200 E. Santa Clara St., 18th Floor tower)

**Public Meeting: Construction Project in Los Gatos Creek in the Final Planning Stages**

6:30 PM-8:00 PM (Glen Eyrie Ave)

**Tom McEnery & Ray O'Flaherty - Downtown Rant**

9:00 PM-10:00 PM (O'Flaherty's)

----- Thursday, October 30, 2008 -----

**Meeting w-Phillip Berghausen, Center for Executive Solutions**

10:00 AM-11:00 AM (Glen Eyrie Ave)

Exhibit

#10

Calendar

----- Monday, November 03, 2008 -----

**Recurring Mayor, Redevelopment Executive Director, City Manager, City Attorney 1:1**

10:00 AM-11:00 AM (Mayor's Conference Room) (every Monday from 10:00 AM to 11:00 AM)

**Recurring Mayor's Meeting with Redevelopment Executive Director, City Manager, Senior Staff**

11:00 AM-12:00 PM (Mayor's Conference Room) (every Monday from 11:00 AM to 12:00 PM)

**Harry Mavrogenes/Rick Doyle 1:1**

▶ 2:30 PM-3:00 PM (Harry Mavrogenes Office) (every Monday from 2:30 PM to 3:00 PM)

Attendees: Harry Mavrogenes, Executive Director, Redevelopment  
Richard Doyle, General Counsel

**Aborn Road Pedestrian Improvement Project/Next Steps w/ D7**

▶ 3:15 PM-3:45 PM (Harry Mavrogenes Office)

Attendees:  
Harry Mavrogenes, Executive Director, Redevelopment  
Abi Maghamfar, Deputy Executive Director, Redevelopment  
Cindy H. Ho, Development Officer, Neighborhood & Business Dev., Redevelopment  
Richard Keit, Director, Neighborhood & Business Dev., Redevelopment

**Harry Mavrogenes/Councilmember Pete Constant**

▶ 4:00 PM-5:00 PM (Councilmember's Office) (the second Wednesday of every 1 month from 10:00 AM to 11:00 AM)

Attendees: Harry Mavrogenes, Executive Director, Redevelopment  
Pete Constant, Councilmember, District 1

----- Tuesday, November 04, 2008 -----

**Council Appointee Performance Review During Closed Session**

9:00 AM-10:30 AM (Council Chambers Conference Room W133) (every Tuesday from 9:30 AM to 11:00 AM)

**CSA Agenda and Issues**

▶ 11:30 AM-11:40 AM (Harry Mavrogenes' Office) (every Tuesday from 11:30 AM to 11:40 AM)

Attendees:  
Harry Mavrogenes, Executive Director, Redevelopment  
John Weis, Assistant Executive Director, Redevelopment  
Dennis Korabiak, Program Manager, Project Management, Redevelopment

**San Pedro Square OPA**

▶ 1:30 PM-2:30 PM (1446)

Attendees:  
Harry Mavrogenes, Executive Director, Redevelopment  
Janet Kern, Deputy Executive Director, Redevelopment  
Peter Larko, Director, Housing/Real Estate, Redevelopment  
Allen Ishibashi, Property Management Officer, Housing/Real Estate, Redevelopment  
John McEnery, San Pedro Square Properties  
Tom McEnery, San Pedro Square Properties (has not confirmed)  
Martin Menne, Barry Swenson Builder

----- Wednesday, November 05, 2008 -----

**Recurring City Manager's Economic Development Meeting**

▶ 8:00 AM-9:00 AM (City Manager's Office) (the fourth Wednesday of every 1 month from 8:00 AM to 9:00 AM)

Calendar

Attendees:

Harry Mavrogenes, Executive Director, Redevelopment  
John Weis, Assistant Executive Director, Redevelopment  
J Silverwood, President & CEO, Affirmed Housing Group

**Budget**

▶ 3:00 PM-3:30 PM (Harry Mavrogenes Office)

Attendees:

Harry Mavrogenes, Executive Director, Redevelopment  
John Weis, Assistant Executive Director, Redevelopment  
Janet Kern, Deputy Executive Director, Redevelopment  
Abi Maghamfar, Deputy Executive Director, Redevelopment  
David C. Baum, Chief Financial Officer (Director), Finance, Redevelopment  
Dean Munro, Chief of Staff, Redevelopment

**Convention Center**

▶ 3:30 PM-4:00 PM (Harry Mavrogenes Office)

Attendees:

Harry Mavrogenes, Executive Director, Redevelopment  
Sharon Jones, Senior Project Manager, Project Management, Redevelopment  
Walter Rask, Principal Architect, Project Management, Redevelopment  
William G. Ekern, Director, Project Management, Redevelopment  
Gerald Greenleaf, Senior Architect, Project Management, Redevelopment

----- Friday, November 21, 2008 -----

**Agency Executive Staff Update Meeting**

▶ 9:00 AM-11:00 AM (Harry Mavrogenes Office)

Attendees: Harry S. Mavrogenes, Executive Director, Redevelopment  
John Weis, Assistant Executive Director, Redevelopment  
David Baum, CFO/Director of Finance, Redevelopment  
Abi Maghamfar, Deputy Executive Director, Redevelopment  
Janet Kern, Deputy Executive Director, Redevelopment  
Dean Munro, Chief of Staff, Redevelopment

**Whole Foods Update**

▶ 11:00 AM-11:30 AM (Harry Mavrogenes Office)

Attendees:

Harry Mavrogenes, Executive Director, Redevelopment  
Christine Velasquez, Senior Development Officer, Downtown Management, Redevelopment

**Beautification Project**

12:15 PM-12:45 PM (South First Street)

**Recurring Mayor/Redevelopment Executive Director/Pete Furman 1:1**

1:00 PM-2:00 PM (Mayor's Office) (every Friday from 8:30 AM to 9:00 AM)

**West of Market Street Revitalization Strategy Plan**

▶ 2:30 PM-3:30 PM (Mayor's Office)

## Calendar

### Attendees:

Mayor Chuck Reed  
Sam Liccardo, Councilmember, District 3  
Harry Mavrogenes, Executive Director, Redevelopment  
John Weis, Assistant Executive Director, Redevelopment  
Ru Weerakoon, Economic Development, Mayor's Office  
Tom McEnery – lobbyist (Urban Markets)  
Mark Tersini – KT Properties  
Barry Swenson - lobbyist (Urban Markets)  
Martin Menne – lobbyist (Urban Markets)  
Jim Rees - Boccardo Management Group  
Jim Fox - President & Managing Partner of Pacific Properties III, Saratoga Capital, Inc.

### **Recurring Harry Mavrogenes/Councilmember Sam Liccardo**

#### ▶ 3:30 PM-4:00 PM (Councilmember's Office)

Attendees: Harry Mavrogenes, Executive Director, Redevelopment  
Sam Liccardo, Councilmember, District 3

### **Convention Center Update**

#### ▶ 4:30 PM-5:00 PM (Harry Mavrogenes Office)

Attendees:  
Harry Mavrogenes, Executive Director, Redevelopment  
William G. Ekern, Director, Project Management, Redevelopment

----- Monday, November 24, 2008 -----

### **Harry Mavrogenes/Leslye Krutko 1:1**

#### ▶ 9:00 AM-10:00 AM (Harry Mavrogenes Office) (the first Tuesday of every 1 month from 11:00 AM to 12:00 PM)

Attendees: Harry Mavrogenes, Executive Director, Redevelopment  
John Weis, Assistant Executive Director, Redevelopment  
Janet Kern, Deputy Executive Director, Redevelopment  
Leslye Krutko, Director, Housing, City of San Jose  
Jacky Morales-Ferrand, Assistant Director, Housing, City of San Jose

### **San Pedro Square Urban Market**

#### ▶ 10:00 AM-11:00 AM (Harry Mavrogenes Office)

Attendees:  
Harry Mavrogenes, Executive Director, Redevelopment  
John Weis, Assistant Executive Director, Redevelopment  
Janet Kern, Deputy Executive Director, Redevelopment  
Peter Larko, Director, Housing/Real Estate, Redevelopment  
Allen Ishibashi, Property Management Officer, Housing/Real Estate, Redevelopment  
John McEnery, San Pedro Square Properties  
Martin Menne, Barry Swenson Builder  
Timothy C. Kelly, President, Keyser Marston Associates, Inc.

Meeting to discuss the San Pedro Square Project with Developer.

### **Mayor's Budget Update**

#### ▶ 11:00 AM-12:00 PM (Harry Mavrogenes Office)

Exhibit #11

----- **Saturday, December 20, 2008** -----

**Vacation**

December 20-December 23

----- **Wednesday, December 24, 2008** -----

**Holiday**

All Day

----- **Thursday, December 25, 2008** -----

**Holiday**

All Day

----- **Friday, December 26, 2008** -----

**Furlough**

December 26-December 30

----- **Tuesday, December 30, 2008** -----

**Tom McEnergy**

▶ 12:00 PM-1:00 PM

Type of Event: Lunch with Tom McEnergy

Topic: Politics, Sports, and Family

----- **Wednesday, December 31, 2008** -----

**Holiday**

December 31, 2008-January 1, 2009

**Travel to San Francisco**

9:00 AM-10:00 AM

**Ronn Owens Show**

▶ 10:00 AM-11:00 AM

Type of Event: Live Interview on Ronn Owens Show - KGO

Topic: San José Update

**Jim Huntington, ABC-7**

▶ 11:00 AM-11:30 AM

Event: Jim is ABC-7 assignment editor -

Topic: Drop by and say hello

**Travel to San Jose**

11:30 AM-12:30 PM

Exhibit

# 12



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## Office of the City Clerk

### City Clerk Home

#### LEGISLATIVE PROCESS

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#### DOCUMENTS & RECORDS

▼ [SJ Charter](#)

▼ [SJ Municipal Code](#)

▼ [SJ Council Policy Manual](#)

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## LOBBYIST INFORMATION

### Forms & Instructions for Lobbyists

• [Lobbyist FAQ's](#) **New!**

• [Lobbyist Workshop Questions & Answers](#)

• [Sample Forms and Schedules](#) **New!**

• [City of San Jose Lobbyist Report](#)

• [Contact Continuation Sheet](#) - Supplement to above for multiple listings

• [Lobbyist Report Extract](#) - (Form only) to file form without instructions

• [Schedule A](#) - Contributions, Expenditures, Fundraising and Donations

• [Schedule B](#) - Payment for Consultant and Other Services

• [Schedule C](#) - Activity Expenses

• [Form D](#) - Lobbyist Disclosure of Contingent Compensation

• [Form E](#) - Lobbyist Annual Registration and Client Renewal

• [Form F](#) - Contract Lobbyist Client Notice of Termination

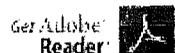
• [Form G](#) - Contract Lobbyist Notice of New Client

Questions about the Lobbyist registration process, or further information can be directed to the Office of the City Clerk at (408) 535-1260.

[Lobbyist Documentation](#)  
[Lobbyist Ordinance #28074](#)  
[Lobbyist Fees \(Res. #73637\)](#)  
[Registered Lobbyists](#)  
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Last Modified Date: 2/23/2009

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As a customer-driven organization, the City of San José welcomes any suggestions you might have to help us serve you better.

Exhibit

# 13

# CITY OF SAN JOSE LOBBYIST REPORT

SEE INSTRUCTIONS ON REVERSE  
File Original with the City Clerk



For Official Use Only

Page \_\_\_\_ of \_\_\_\_.

- This is a Registration Report.
- This is a Quarterly Report covering the period:
  - January 1-March 31, \_\_\_\_\_ (Due by Apr 15<sup>th</sup>)
  - April 1-June 30, \_\_\_\_\_ (Due by Jul 15<sup>th</sup>)
  - July 1-September 30, \_\_\_\_\_ (Due by Oct 15<sup>th</sup>)
  - October 1-December 31, \_\_\_\_\_ (Due by Jan 15<sup>th</sup>)
- This is an AMENDMENT to the Lobbyist Report filed: \_\_\_\_\_.
- This is a TERMINATION Report effective as of: \_\_\_\_\_.

NAME OF LOBBYIST:

BUSINESS ADDRESS: (Number and Street) (City) (State) (Zip Code)

TELEPHONE NUMBER:

( )

FAX NUMBER:

( )

MAILING ADDRESS: (If different than above)

E-MAIL:

## LOBBYIST REPORT INSTRUCTIONS

### TO COMPLETE THE REPORT:

- If this is the initial registration, check the box marked "Registration Report."
- If you have filed a Registration Report and this is a report to disclose information regarding a particular quarter, check the box marked "Quarterly Report", and the applicable calendar quarter covered by the report. (Quarterly reports are due by the 15<sup>th</sup> of the month following the close of each quarter. Penalties of \$50/day apply thereafter.)
- If this is an amendment to a previously filed report, check the box for "AMENDMENT" and indicate the date of the report that is being amended. ATTACH ONLY THE PAGES WITH THE NEW INFORMATION.
- If you have terminated all lobbying activity, check the box marked "TERMINATION Report" and indicate the date when you ceased all lobbying activity.
- Enter the Lobbyist information including name, address, telephone and facsimile numbers, and electronic mail address.
- A **Lobbyist** may be any person that meets the following definitions:

A **Contract Lobbyist** (either an entity or an individual) engages in lobbying activity on behalf of one or more clients and has received or entered into an agreement for compensation of \$1,000 or more for services that include lobbying activity during any consecutive 3 month period.

A Contract Lobbyist has a choice of registering as an entity or individual. *For example, if Mr. Smith and Ms. Jones are employed by a firm ACME Inc. to engage in lobbying activity on behalf various clients, Mr. Smith and Ms. Jones may register separately as individuals who qualify as Contract Lobbyists or register ACME Inc., the entity, as the Contract Lobbyist and list themselves as persons that are engages in lobbying activity on the client's behalf.*

An **In-House Lobbyist** (the business or organization) engages in lobbying activity through its owners, compensated officers, or compensated employees and whose aggregate time engaging in lobbying activity on behalf of the business or organization are 10 hours or more in any consecutive 12 month period.

**Note:** An employee who engages in lobbying activity on his or her own initiative without being compensated for the time may petition City Officials or City Official-Elect regarding a legislative or administrative action affecting the In-House Lobbyist. The time spent by this employee would not be attributed to the 10 hours per any 12 consecutive month period threshold for qualifying as an In-House Lobbyist.

An **Expenditure Lobbyist** makes payments or incurs expenditures in the aggregate amount of \$5,000 or more in a calendar year to carry out public relations, advertising, or similar activity to urge other persons to communicate directly with a City Official to influence a legislative or administrative action. The \$5,000 threshold does not include compensation paid to Contract Lobbyists or In-House Lobbyists for lobbying activity; or dues, donations, or other economic consideration paid to an organization.

REPORT FOR EACH CATEGORY OF LOBBYIST (Check all that apply)

CONTRACT LOBBYIST

Specify how the Contract Lobbyist is organized:

- Sole Proprietorship  Partnership  Non-Profit Corporation  For-Profit Corporation  Other \_\_\_\_\_

Name of each person working for the Contract Lobbyist that is engaged in lobbying activity: \_\_\_\_\_

\_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

\_\_\_\_\_

IN-HOUSE LOBBYIST

Specify how the In-House Lobbyist is organized:

- Sole Proprietorship  Partnership  Non-Profit Corporation  For-Profit Corporation  Other \_\_\_\_\_

Name of each owner, compensated officer, or compensated employee engaged in lobbying activity on behalf of the In-House Lobbyist:

\_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

\_\_\_\_\_

EXPENDITURE LOBBYIST

Specify how the Expenditure Lobbyist is organized:

- Sole Proprietorship  Partnership  Non-Profit Corporation  For-Profit Corporation  Other \_\_\_\_\_

Name of each person working for the Expenditure Lobbyist that is engaged in lobbying activity (if applicable): \_\_\_\_\_

\_\_\_\_\_

If the Lobbyist is a sole proprietor or partnership of fewer than five (5) persons, state the name(s) of the sole proprietor or persons with an ownership interest in the business: \_\_\_\_\_

If the Lobbyist is a corporation (for-profit or non-profit), state the names of Officers and Agent for Service of Process: \_\_\_\_\_

\_\_\_\_\_

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

## LOBBYIST REPORT INSTRUCTIONS

### • COMPLETE THE REPORT:

- Check all applicable type(s) of Lobbyist and proceed to fill out the corresponding section(s) requesting information for each type of Lobbyist checked.
- Check the box describing how the Lobbyist is organized. If none of the boxes are applicable, check "Other" and describe how it is organized.
- If the Lobbyist is registered as an entity and not an individual, list the name of each person that is engaged in lobbying activity:

**Contract Lobbyist:** Identify the name of each person working for the Contract Lobbyist to engage in lobbying activity. The person may be an individual employed by the Contract Lobbyist, an individual working as an independent contractor for the Contract Lobbyist, or an entity that has a subcontract with the Contract Lobbyist, to engage in lobbying activity. In addition, if the independent contractor or subcontractor meets the \$1,000 threshold for compensation during any 3 consecutive month period, they must separately register as Contract Lobbyists and identify the Clients they represent. In this instance, the independent contractor or subcontractor may not be directly compensated by the Client whose interest they are advancing but by the Contract Lobbyist that had the initial arrangement with the Client.

**In-House Lobbyist:** Identify the name of each owner, compensated officer, and compensated employee of the In-House Lobbyist engaged in lobbying activity on behalf of the In-House Lobbyist.

- If the Lobbyist type is a sole proprietorship or partnership of fewer than 5 persons, check the box and list the name of the sole proprietor or the names of each person with an ownership interest in the business.
- If the Lobbyist type is a corporation, check the box and list the names of the officers and the agent for service of process.

### Exemptions from the Lobbyist Regulations

The individuals engaged solely in the following activities are not Lobbyists:

- A public official acting in his or her official capacity.
- A person engaged solely in publication or broadcasting in news items, editorials, or commentary which directly or indirectly urges governmental action.
- A person hired by the City of San Jose or City of San Jose Redevelopment Agency.

- A person who prepares documents for approval by the City under the California Environmental Quality Act of 1970 and San Jose Municipal Code Title 21.
- A person invited, for the purpose of giving testimony, by the City or Redevelopment Agency.
- The owner of a business whose attempts to influence a governmental action are on behalf of the business and who meets three criteria. The three criteria are (1) the owner or business has not made or solicited contributions for the elected official contacted, or a candidate or independent expenditure committee at the behest of the elected official contacted, in an amount over \$1,000 within the last 12 months in a City election; (2) the owner or business has not retained a person to engage in lobbying activity on behalf of the owner or business; and (3) the officers or employees of the business have not engaged in lobbying activity on behalf of the owner or business.
- A person whose attempts to influence governmental action are limited to publicly appearing at a public meeting and/or preparing, processing, or submitting documents in connection with a public meeting.
- A person whose sole activity includes submitting a bid on a competitively bid contract; submitting a written response to a request for proposals or qualifications; participating in an oral interview for a request for proposals or qualifications; and/or negotiating the terms of an agreement with the City or Redevelopment Agency Official authorized to negotiate such agreement.
- A person who meets with City Officials solely to lodge a complaint relating to improper governmental activity.
- A person who meets with the City Attorney or City Clerk regarding a claim or litigation matter, negotiation of any agreements with the City, or requirements or interpretations of San Jose Municipal Code Chapter 12.16.
- Uncompensated members or uncompensated members of the board of directors of non-profit organizations.
- Members of neighborhood associations, Neighborhood Advisory Committees, or Project Area Committees.
- Persons whose communications are solely related to collective bargaining and proceedings before the Civil Service Commission.
- A person whose communications with City Officials are solely in connection with the administration of a City or Redevelopment Agency agreement.
- Compensated officers or employees of a non-profit organization with tax exempt status under Section 501(c) (3) of the Internal Revenue Code whose attempts to influence governmental action are on behalf of the organization.

**SECTION I. CONTRACT LOBBYIST CLIENT INFORMATION**

Page \_\_\_\_ of \_\_\_\_.

**CLIENT INFORMATION**

Client Name, Address and Telephone Number

Effective Date

Describe the nature and purpose of the Client's business.

**THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE CONTRACT LOBBYIST SEEKS TO INFLUENCE**

Describe in detail the legislative or administrative action(s) the Contract Lobbyist was retained to influence and the outcome sought.

1. \_\_\_\_\_
2. \_\_\_\_\_
3. \_\_\_\_\_
4. \_\_\_\_\_

**CONTACT INFORMATION**

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

**COMPENSATION**

A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with this Client

by: \_\_\_\_\_  
(Name of Filer)

The total compensation promised or received from the Client for lobbyist services:

- \$0     
  \$1-\$500     
  \$1,001-\$10,000     
  \$100,001-\$200,000     
  \$300,001-\$400,000  
 \$501-\$1,000     
  \$10,001-\$100,000     
  \$200,001-\$300,000     
  Over \$400,001

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

## LOBBYIST REPORT INSTRUCTIONS

### Section I. Contract Lobbyist Client Information:

- List the name, address, and telephone number of the Client. Specify the date when the Contract Lobbyist was retained to represent the Client. If the Contract Lobbyist has more than 1 Client, a separate page should be filled out for each Client.

A **Client** is any person who compensates the Contract Lobbyist for representation.

**Compensation** is any economic consideration for services rendered or to be rendered in the future. For example, compensation may include a payment, distribution transfer, loan advance, deposit, money, property, services, or anything else of value (monetary or non-monetary).

**Note:** For the Quarterly Report, a Contract Lobbyist does not need to disclose a Client for whom no lobbying activity has occurred in the preceding calendar quarter (i.e. the quarter covered by the report).

- Describe the nature and purpose of the Client's business.
- Describe in detail the legislative or administrative action(s) the Contract Lobbyist was retained to influence and the outcome sought.

A **Legislative action** includes the drafting, introduction, consideration, modification, enactment or defeat of a resolution, ordinance, amendment thereto, report, nomination, or other action of the Mayor, City Council, Redevelopment Agency, or City board, commission, task force, or joint powers authority.

An **Administrative action** is the proposal, drafting, development, consideration, advocacy, recommendation, adoption, amendment or approval of any rule, regulation, agreement, contract, permit, license or hiring action.

- Fill in the name of each City Official or City Official-Elect contacted.
- Fill in the name of the individual who made the contact.
- Identify the action the individual was trying to influence.
- Fill in the date(s) of contact.
- Check the box for the number of contacts made by the individual with that City Official or City Official-Elect.

A **Contact** is attendance at a meeting or any communication (direct or through an agent of the Lobbyist), with a City Official or City-Official-Elect for the purpose of influencing a legislative or administrative action. The communication may be oral, electronic or in writing.

A **City Official** includes the Mayor, Council members, and their staff; Redevelopment Agency Board Members; members of the Planning Commission, Appeals Hearing Board, and Civil Service Commission; any City representative to a joint powers authority where the City is a party; the City Manager, Assistant City Managers, and Deputy City Managers; Executive Director of the Redevelopment Agency and his or her Assistant and Deputies; City department heads; and Redevelopment Agency division heads.

A **City Official-Elect** is any person elected but not yet sworn into a City office.

**Note:** A list of City Officials is available for review at the Office of the City Clerk. This list is complete as of the date indicated. Lobbyists should inquire with the Office of the City Clerk if they have any questions regarding individuals or positions not on the list.

- If the Contract Lobbyist has a contingent compensation arrangement for non-lobbying services (e.g. legal services, brokerage services, and engineering services) with the Client listed on this page, check the box and fill in the spaces provided indicating the Contingent Compensation Disclosure (Form D) was filed and specify the name of the filer. After August 1, 2007, a Lobbyist may not accept compensation for lobbying services that is contingent on the outcome of a legislative or administrative action. Contingent compensation for non-lobbying services, however, is not prohibited but must be disclosed.
- Check the box indicating the range of compensation promised or received (including contingent compensation) from the Client listed on this page.
- If additional space is needed for any portion of Section I, a duplicate may be made of the page to attach as a continuation sheet.

**SECTION II. IN-HOUSE LOBBYIST**

**THE NATURE OF THE BUSINESS, ORGANIZATION OR ASSOCIATION**

Describe the nature and purpose of the business, organization or association.

\_\_\_\_\_  
\_\_\_\_\_

**THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) THE IN-HOUSE LOBBYIST SEEKS TO INFLUENCE**

Describe in detail the legislative or administrative action(s) the In-House Lobbyist seeks to influence and the outcome sought.

1. \_\_\_\_\_  
2. \_\_\_\_\_  
3. \_\_\_\_\_  
4. \_\_\_\_\_

**CONTACT INFORMATION**

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

**CONTINGENT COMPENSATION DISCLOSURE**

A disclosure (Form D) was filed with the Office of the City Clerk regarding all contingent compensation arrangements with owners, officers and employees engaged in lobbying activity on its behalf. This disclosure was filed by \_\_\_\_\_  
(Name of Filer)

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

## LOBBYIST REPORT INSTRUCTIONS

### Section II. In-House Lobbyist

- Describe the nature and purpose of the In-House Lobbyist business, organization or association.
- Describe in detail the legislative or administrative action(s) the In-House Lobbyist seeks to influence and the outcome sought.

A **Legislative action** includes the drafting, introduction, consideration, modification, enactment or defeat of a resolution, ordinance, amendment thereto, report, nomination, or other action of the Mayor, City Council, Redevelopment Agency, board, commission, task force, or joint powers authority.

An **Administrative action** is the proposal, drafting, development, consideration, advocacy, recommendation, adoption, amendment or approval of any rule, regulation, agreement, contract, permit, license or hiring action.

- Fill in the name of each City Official or City Official-Elect contacted.
- Fill in the name of the individual who made the contact. Identify the action the individual was trying to influence.
- Fill in the date(s) of contact.
- Check the box for the number of contacts made by the individual with that City Official or City Official-Elect.

A **Contact** is attendance at a meeting or any communication (direct or through an agent of the Lobbyist), with a City Official or City-Official-Elect for the purpose of influencing a legislative or administrative action. The communication may be oral, electronic or in writing.

A **City Official** includes the Mayor, Council members, and their staff; Redevelopment Agency Board Members; members of the Planning Commission, Appeals Hearing Board, and Civil Service Commission; any City representative to a joint powers authority where the City is a party; the City Manager, Assistant City Managers, and Deputy City Managers; Executive Director of the Redevelopment Agency and his or her Assistant and Deputies; City department heads; and Redevelopment Agency division heads.

A **City Official-Elect** is any person elected but not yet sworn into a City office.

**Note:** A list of City Officials is available for review at the Office of the City Clerk. This list is complete as of the date indicated. Lobbyists should inquire with the Office of the City Clerk if they have any questions regarding individuals or positions not on the list.

- If the In-House Lobbyist has a contingent compensation arrangement for non-lobbying services (e.g. legal services, brokerage services, engineering services) with an owner, officer, or employee listed on this page, check the box and fill in the spaces provided indicating that a Contingent Compensation Disclosure (Form D) was filed and the name of the filer. After August 1, 2007, the owner, compensated officer, or compensated employee may not accept compensation for lobbying services that is contingent on the outcome of a legislative or administrative action. Contingent compensation for non-lobbying services, however, is not prohibited but must be disclosed.
- If additional space is needed for any portion of Section II, a duplicate may be made of the page to attach as a continuation sheet.

**SECTION III. EXPENDITURE LOBBYIST**

**THE LEGISLATIVE OR ADMINISTRATIVE ACTION(S) LOBBYIST SEEKS TO INFLUENCE**

Describe in detail the legislative or administrative action(s) the Expenditure Lobbyist seeks to influence and the outcome sought.

- 1. \_\_\_\_\_
- 2. \_\_\_\_\_
- 3. \_\_\_\_\_
- 4. \_\_\_\_\_

**AMOUNT OF PAYMENT OR EXPENDITURE**

\$ \_\_\_\_\_

**CONTACT INFORMATION (if applicable)**

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

City Official Contacted: \_\_\_\_\_ Individual Who Made Contact: \_\_\_\_\_

Identify Action: \_\_\_\_\_

Date(s) of Contact: \_\_\_\_\_

Contacts  (1)  (2-5)  (6-10)  (11 or more)

This page may be duplicated. If more space is needed, check box and fill out a duplicate continuation sheet.

## LOBBYIST REPORT INSTRUCTIONS

### Section III. Expenditure Lobbyist

- Describe in detail the legislative or administrative action(s) the Expenditure Lobbyist seeks to influence and the outcome sought.

A **Legislative action** includes the drafting, introduction, consideration, modification, enactment or defeat of a resolution, ordinance, amendment thereto, report, nomination, or other action by the City Council, Redevelopment Agency, board, commission, task force, or joint powers authority.

An **Administrative action** is the proposal, drafting, development, consideration, advocacy, recommendation, adoption, amendment or approval of any rule, regulation, agreement, contract, permit, license or hiring action.

- Specify the amount of the payment or expenditure for the qualifying activity. Qualifying activity includes any public relations campaign, advertising or similar activities for the purpose of soliciting or urging other persons to communicate directly with a City Official to influence a legislative or administrative action.

If applicable:

Fill in the name of each City Official or City Official-Elect contacted.

- Fill in the name of the individual who made the contact.
- Identify the action the individual was trying to influence.
- Fill in the date(s) of contact.
- Check the box for the number of contacts made by the individual with that City Official or City Official-Elect.

A **Contact** is attendance at a meeting or any communication (direct or through an agent of the Lobbyist), with a City Official or City-Official-Elect for the purpose of influencing a legislative or administrative action. The communication may be oral, electronic or in writing.

A **City Official** includes the Mayor, Council members, and their staff; Redevelopment Agency Board Members; members of the Planning Commission, Appeals Hearing Board, and Civil Service Commission; any City representative to a joint powers authority where the City is a party; the City Manager, Assistant City Managers, and Deputy City Managers; Executive Director of the Redevelopment Agency and his or her Assistant and Deputies; City department heads; and Redevelopment Agency division heads.

A **City Official-Elect** is any person elected but not yet sworn into a City office.

**Note:** A list of City Officials is available for review at the Office of the City Clerk. This list is complete as of the date indicated. Lobbyists should inquire with the Office of the City Clerk if they have any questions regarding individuals or positions not on the list.

- If additional space is needed for any portion of Section III, a duplicate may be made of the page to attach as a continuation sheet.

**IV. ADDITIONAL DISCLOSURES**

- I have reviewed the schedules and forms and I have nothing to report.
- Attached to this Report is/are the following Schedule(s) or Form(s): (check all that apply)
  - Schedule A – Campaign/Officeholder Contributions, Independent Expenditure, Fundraising, Donation
  - Schedule B – Payment for Consultant or Other Services
  - Schedule C – Activity Expenses
  - Form D – Lobbyist Disclosure of Contingent Compensation (May File Separately)
  - Form E – Lobbyist Annual Registration and Client Renewal
  - Form F – Contract Lobbyist Client Notice of Termination (May File Separately)
  - Form G – Contract Lobbyist Notice of New Client (May File Separately)

**V. FEES DUE**

- Lobbyist Registration Fee (January 1 – June 29) (\$350) \$ \_\_\_\_\_
  - Pro -Rated Registration Fee (June 30 – December 31) (\$175) \$ \_\_\_\_\_
  - Contract Lobbyist Client Fee (\$60) x Number of Clients (\_\_\_\_\_) \$ \_\_\_\_\_
  - Form E: Annual Lobbyist Registration Renewal (\$350) \$ \_\_\_\_\_
  - Form E: Annual Contract Lobbyist Client Fee Renewal (\$60) x Number of Clients (\_\_\_\_\_) \$ \_\_\_\_\_
- Total Payment Due With Report \$ \_\_\_\_\_

**Note:** Consult with the Office of the City Clerk to determine if you are subject to late fees in addition to the payment due above.

**VERIFICATION**

I certify that I have been authorized by the Lobbyist identified above to make this verification. I have reviewed the requirements of the provisions of the San Jose Municipal Code (Chapter 12.12). I certify under penalty of perjury under the laws of the State of California that I have reviewed this Lobbyist Report and to the best of my knowledge the information contained herein is true and complete.

Print Name \_\_\_\_\_ Title \_\_\_\_\_

Signature \_\_\_\_\_ Executed On \_\_\_\_\_  
(month, day, year)

## LOBBYIST REPORT INSTRUCTIONS

### Section IV. Additional Disclosures

- If the Lobbyist has reviewed the instructions for all of the schedules and forms and has determined there is nothing to report, check the first box indicating the same and proceed to Section V.
- If the Lobbyist has reviewed the instructions for all of the forms and instructions and has determined that there is reportable activity, check the box and specify which schedules or forms are attached.
- For the Quarterly Report due on January 15, a Lobbyist that plans to continue to engage in lobbying activity must complete and attach Form E (Lobbyist Annual Registration and Client Renewal).
- If the Contract Lobbyist has terminated a Client in the quarter covered by this report, the Contract Lobbyist must complete and attach Form F (Contract Lobbyist Client Notice of Termination), to the Quarterly Report. This form does not need to be attached if it was filed separately during the quarter. Lobbying activity during the quarter on behalf of a terminated client must still be disclosed on the Quarterly Report.

### Section V. Fees Due

- If the Registration Report should have been filed between January 1 and June 29, the Lobbyist must pay the annual registration fee of \$350. If the Lobbyist is not required to register until on or after June 30 of the calendar year, the Lobbyist may pay a prorated annual registration fee of \$175.
- An initial **Client Fee** of \$60 is due for any Client that compensates the Contract Lobbyists in an amount greater than \$500.
- If the Lobbyist is renewing the annual registration, check the box for the Annual Registration Renewal Fee of \$350 and attach Form E (Annual Registration Renewal) to the Quarterly Report due on January 15.
- If a Client, for whom the Contract Lobbyist has paid a **Client Fee** in the last calendar year, will continue to retain the

Contract Lobbyist in the current calendar year, the box for the Annual Client Fee Renewal of \$60 must be checked and a Form E (Annual Client Renewal) must be attached to the Quarterly Report due on January 15.

### Verification

Complete the verification by signing the statement and entering the date signed. When you sign, you are stating, under penalty of perjury that to the best of your knowledge the information contained herein is true and complete. **Do not alter the verification statement. Contingent Compensation Disclosure Forms with altered verification statements will not be accepted by the Office of the City Clerk.**

Submit the originally signed Lobbyist Report to the Office of the City Clerk. **An unsigned Lobbyist Report will be deemed unfiled.**

Exhibit

# 14

Calendar

Type of Event: Recurring Status meeting

Topics: Upcoming Events

Pete Furman, Chief of Staff; Rabia Chaudhry, Chief of Staff

**Meeting with San José Redevelopment Agency; Office of Economic Development**

▶ 5:00 PM-6:00 PM

Type of Event: Meeting with San José Redevelopment Agency; Office of Economic Development

Topic: Convention Center

Staff: Armando Gomez; Senior Policy Advisor, Budget; Ru Weerakoon, Senior Policy Advisor, Economic Development

Dean Munro, Chief of Staff, San José Redevelopment Agency; Harry Mavrogenes, Executive Director, San José Redevelopment Agency; Paul Krutko, Director, Economic Development

**San Jose State University-City Staff Recognition Reception**

▶ 6:30 PM-7:00 PM

Type of Event: Beyond MLK/Communiversality

Topic: SJSU-City Staff Recognition Reception

**World AIDS Day - Interfaith Gathering**

▶ 7:00 PM-7:30 PM

Type of Event: World AIDS Day - Interfaith Gathering

**Holiday Potluck Dinner**

▶ 7:30 PM-8:30 PM

Type of Event: Holiday Potluck Dinner - Berryessa/Milpitas Republicans

----- Tuesday, December 02, 2008 -----

**Rick Doyle, City Attorney**

▶ 8:00 AM-9:00 AM

Type of Event: Recurring status meeting

Topic: Upcoming topics

**Closed Session**

▶ 9:00 AM-11:00 AM

Type of Event: City Council meeting

Topics: See Council Meeting Agenda

**Calendar Review**

▶ 11:30 AM-12:00 PM

Type of Event: Review calendar/upcoming events/weekends

Staff: Pete Furman, Chief of Staff; Celia Miller, Schedule Coordinator

**City Council Meeting**

▶ 1:30 PM-5:00 PM

Type of Event: City Council meeting

Topics: See Council Meeting Agenda

**City Council Meeting**

▶ 7:00 PM-8:30 PM

Type of Event: City Council meeting

Topics: See Council Meeting Agenda

----- Wednesday, December 03, 2008 -----

**Senior Staff Meeting**

▶ 8:30 AM-10:00 AM

Type of Event: Recurring Status Meeting

Topic: Upcoming events

Attendees:

Pete Furman, Chief of Staff

John Janssen, Sr. Policy Advisor, Government Affairs

Michelle McGurk, Public Information Officer

Ru Weerakoon, Sr. Policy Advisor, Economic Development

Alicia Bosco, Policy Analyst

**Welcome Remarks at State High Speed Rail-Full Board Meeting**

▶ 10:00 AM-10:30 AM

Type of Event: Welcome Remarks at State High Speed Rail at their Full Board Meeting

**Councilmember Judy Chirco**

▶ 10:30 AM-11:00 AM

Type of Event: Recurring status meeting

Topic: Upcoming topics

**Meeting with Councilmember Nora Campos**

▶ 11:00 AM-11:30 AM

Type of Event: Meeting with Councilmember Nora Campos

Topic: Committee Assignments

Staff: Pete Furman, Chief of Staff

**Ru Weerakoon, Senior Policy Advisor, Economic Development**

▶ 11:30 AM-12:00 PM

Type of Event: Recurring Status Meeting

Topic: Upcoming events

Staff: Ru Weerakoon, Senior Policy Advisor, Economic Development; Pete Furman, Chief of Staff

**Rotary Club Meeting**

▶ 12:00 PM-2:00 PM

Type of Event: Rotary Club Meeting

**Press availability**

▶ 1:30 PM-2:00 PM

Type of Event: Press availability with Councilmember Sam Liccardo

Topic: San Pedro Square Urban West Project

**Rules and Open Government Meeting**

▶ 2:00 PM-4:00 PM

Type of Event: Recurring Rules Committee Meeting

Topics: See Meeting Agenda

**Debra Figone, City Manager**

▶ 4:00 PM-5:00 PM

Type of meeting: Recurring Status Meeting with Debra Figone, City Manager

Topic: Upcoming events

Pete Furman, Chief of Staff

**Interview with Mike Colgan, KCBS**

▶ 5:30 PM-5:45 PM

Type of Event: Interview with Mike Colgan, KCBS

Topic: Adobe

**Dinner with Steve Goldsmith, Former Mayor of Indianapolis**

▶ 6:00 PM-7:30 PM