

ON-LINE APPLICATION



RETIREMENT BOARD APPLICATION

Please check the appropriate box:

- Police and Fire
- Federated

Submit to:
 City Clerk's Office
 200 E. Santa Clara Street, Wing Room 243
 San Jose, CA 95113-1905
 (408) 535-1275
 CityClerk@sanjoseca.gov
 Attention: Dennis Hawkins

PLEASE COMPLETE PAGES 1-4

Date 09/19/2009

Name Taylor Conrad William
Last First Middle

Present Address: [redacted] [redacted] Ca. [redacted]
Number Street City State Zip

How long at current address 15 years

Telephone [redacted] E-Mail _____

EMPLOYMENT
 Current Employer City of San Jose Address 201 West Mission
 Telephone 408-277-5644 Years Employed 16

General Background

1. How did you learn of a vacancy on this Board?
 - Internet
 - Newspaper
 - Personal contact from Councilmember
 - Personal contact from City staff member
 - Word of mouth
 - Other (please specify)

2. Have you attended any Retirement Board meetings in the last 6 months? If so, what was the reason for your attendance?

Yes, I am currently a trustee on the P&F retirement board.

3. Have you attended any San Jose City Council meetings in the last 6 months? If so, what was the reason for your attendance?

Yes. I was interviewed by the City Council regarding my current position on the P&F retirement board.

4. What are your goals and objectives for serving on the Retirement Board?

- 1) Work with and monitor the investment managers
- 2) Ensure sound investment principals are being followed
- 3) Maintain a fiduciary responsibility for the security of the members pension resources
- 4) Ensure the fund is strong, safe and transparent

5. Have you read the City Auditor's Retirement Board Travel Audit? Yes No

6. Do you support the recommendations of the City Auditor? Yes No

7. Do you have any additional recommendations for changes in the Travel Policy?

Restrict the number of board members and staff attending/traveling to a single event

8. Have you ever failed to file a Statement of Economic Interest (Form 700) when you were required to do so? Yes No
9. Are you aware that gifts over \$50 in value, including food and entertainment, must be reported on the Form 700? Yes No
10. Are you familiar with the City's prohibition of the receipt of most gifts over \$50 in value? (Municipal Code 12.08.010) Yes No
11. Have you ever traveled at City expense? Yes No
12. Please confirm that you have reviewed and agree to comply with the City of San Jose Ordinance (San Jose Municipal Code Chapter 12.08), City of San Jose Ethics Policy (City Policy Manual 1.2.1), City of San Jose Gift Policy (City Policy Manual 1.2.2), and City Council Conflict of Interest Policy (Council Policy No. 0-34).
- Yes I have read and agree to comply.
 No I have not read and/or do not agree to comply.

Familiarity with Board

1. What is your understanding of the responsibilities of Retirement Board members?
- 1) Consider request for retirements
 - 2) Determine eligibility for retirement benefits
 - 3) Fiduciary responsibility for the security of the plan
 - 4) Work with investment professionals
 - 5) Monitor investment policies and guidelines
2. What is your understanding of the roles of the Retirement Board in relation to Retirement Services staff?
- 1) Work in conjunction to ensure the compliance of the plans policies and guidelines
 - 2) Work together to supervise the plans assets
 - 3) Work together to ensure the plan is transparent
3. What is your understanding of the relationship between the Retirement Board and City Council?
- Share a common goal for a safe, strong and transparent fund for its participants

4. What do you consider to be the three most important issues currently facing the Retirement Board?
- 1) Current economic conditions
 - 2) Public trust
 - 3) Transparency
5. What do you perceive as the short and long term goals of the Retirement Board?
- 1) Identify inefficiencies or uneconomical policies
 - 2) Stronger, transparent fund
 - 3) Make and enforce rules and regulations for the administration of the fund
 - 4) Monitor investment policies
 - 5) Monitor investment managers
6. Have you discussed the role of a Retirement Board member with a current or former Retirement Board member or with Retirement Services staff? Yes No
7. What specifically can you add or bring to the Retirement Board?
- 1) Strong financial background
 - 2) Strong work ethic
 - 3) Ability to work with others to obtain a common goal
8. Do you believe that the retirement funds that are invested by the retirement boards are public funds? Why or why not?
- No. Money contributed into the retirement fund is held in trust for the sole purpose of paying retirement and associated benefits to the qualified members provided by the San Jose Municipal Code.
9. It is commonplace for fund managers and service providers to host large events at industry conferences and events. Do you feel that attendance at these "widely attended" events requires compliance with the City's gift policy and FPPC Form 700 reporting?
- Yes. All City, State and Federal guidelines need to be followed

6. What should be included in a pension plan's statement of investment policies? Please address the roles of trustees, staff, consultants, investment managers and custodians in asset allocation, portfolio structure, manager selection and due diligence, rebalancing, reporting and analysis.

I believe the funds current investment guidelines include the aforementioned items and the boards review of the guidelines on a constant basis ensures the investment policies are being met.

7. List any abilities, skills, licenses, certificates, specialized training, work-related experience or interests you have which are applicable to this Board or Commission:

- 1) 8 years public accounting experience
- 2) Certified Public Accountant - license #61169E
- 3) Business Administration, Concentration in Accounting from San Jose State
- 4) Tax Manager at Ernst & Young
- 5) Trustee on the San Jose's Deferred Compensation Board
- 6) Trustee on the Police & Fire retirement board
- 7) Financial Institution

Background Checks and References

1. Please provide four personal references (not relatives). Please include name, address, and telephone number.

Jeff Ricketts [REDACTED] 5
Steve Cassidy [REDACTED]
Ken Rawson [REDACTED]
Joe Hernandez [REDACTED]

2. Have you ever been convicted of a crime (except for minor traffic offenses that resulted only in a fine)? Yes No If yes, please explain in complete detail. State the nature and approximate date of the conviction, the sentence imposed, whether the sentence has been completed, and any other information you consider to be relevant.

3. Have you ever been the subject of investigation that resulted in a sustained finding of financial improprieties? Yes No