



COUNCIL AGENDA: 3/22/05

ITEM: 2.11

# Memorandum

**TO:** HONORABLE MAYOR AND  
CITY COUNCIL

**FROM:** Frances L. Edwards

**SUBJECT:** URBAN AREA SECURITY  
INITIATIVE GRANT APPROVAL

**DATE:** March 15, 2005

Approved

Date

3/9/05

**COUNCIL DISTRICT:** Citywide

## REASON FOR ADDENDUM

The Urban Area Security Initiative (UASI) Grant is awarded to the nation's fifty largest cities by the Federal Department of Homeland Security. The application process involves coordination with the UASI Advisory Committee and Working Group on the budget allocation. (The list of UASI components is Attachment 1.) The consensus of the Advisory Committee and Working Group for the budget allocations required clarification from the State, which the City did not receive until the morning of March 4, 2005. All application materials must be submitted by April 1, 2005.

## RECOMMENDATION

That the City Council adopt a resolution authorizing the City Manager to:

- (1) Submit a grant application to the United States Department of Homeland Security; and
- (2) Accept the grant, if awarded, and negotiate and execute the grant agreement in an amount not to exceed \$6,590,133.

## BACKGROUND

In the fall of 2003, the State of California notified the City of San Jose that for the 2004 UASI Program it would be designated as a core city, with Santa Clara as its core County. The additional members of the San Jose UASI Region are the 14 other cities in Santa Clara County and the Counties of Monterey, San Benito and Santa Cruz. For 2004 the Federal government has allocated \$9,923,545 million for the San Jose UASI, which has been budgeted for the benefit of the defined regions. Funds may be spent for planning, exercising, training and equipment to improve the ability of communities to respond to weapons of mass destruction attacks. The City is now being offered the opportunity to apply for the 2005 UASI funding in an amount not to exceed \$6,590,133.

The City of San Jose is a sub-grantee of the State of California for the purposes of the UASI Grant Program. The performance period for the UASI grant is October 1, 2004 through March 31, 2007.

The UASI is comprised of a Management Team, an Advisory Committee and a Working Group (Attachment 1). Together these individuals have assisted with the development of a strategy comprised of goals and implementation steps. The grant focuses on improving the capability to respond to disasters including terrorist attacks, and provides funds for the development of joint planning, training, exercising and equipment acquisition for the City of San Jose, and for partners in the UASI within Santa Clara County, San Benito County, Santa Cruz County and Monterey County.

### **ANALYSIS**

1. The \$6,590,133 provided to the UASI will be spent for the benefit of the Region-wide activities, such as the Communications Interoperability Project, as well as for the benefit of the individual partner agencies. This means that funding will be used for planning, training, and exercising that will include all the UASI members, as well as for some equipment that will be for specific communities.
2. The City of San Jose, as the core City, will retain 2.5% of the \$6,590,133 to cover staff time and overhead to administer the Grant.
3. Due to the size of the grant, a separate UASI account was established within the citywide chart of accounts. A preliminary categorical budget for the 2005 funds is attached. An appropriation will be requested at a later time when the detailed spending plan of the UASI and the budgetary condition of the City are clearer. The program is funded on a reimbursement basis.

### **PUBLIC OUTREACH**

Partners in the UASI include representatives of the City of San Jose, other cities of Santa Clara County, and the counties of San Benito, Santa Cruz and Monterey. (See Attachment 1)

### **COORDINATION**

This memorandum has been coordinated with the City Attorney's Office and the City Manager's Budget Office.

### **COST IMPLICATIONS**

Council approval of the recommendations in this memorandum have no cost impact. When the UASI Grant is awarded, an appropriation action of up to \$6,590,133 reimbursable General Fund

HONORABLE MAYOR AND CITY COUNCIL  
March 15, 2005  
**Subject: Urban Area Initiative Security Grant Program**  
Page 3

monies will be needed to implement the grant. Staff will return to the City Council with an appropriation ordinance amendment when detailed spending plans are complete.

CEQA

Not a project.

  
Frances L. Edwards  
Director, Office of Emergency Services

Attachments: UASI Components  
UASI 2005 Budget Proposal

**Attachment 1**

**San Jose UASI  
San Jose Core City/ Santa Clara Core County**

**UASI Components**

**Core:**

City of San Jose, Office of the City Manager  
County of Santa Clara, Office of the County Executive

**Urban Area:**

City of Campbell	City of Palo Alto
City of Cupertino	City of Santa Clara
City of Gilroy	City of Saratoga
City of Los Altos	City of Palo Alto
City of Los Altos Hills	City of Sunnyvale
City of Milpitas	Town of Los Gatos
City of Monte Sereno	Monterey Operational Area
City of Morgan Hill	San Benito Operational Area
City of Mountain View	Santa Cruz Operational Area

**UASI Governance**

UASI Grants are made to the nation's 50 largest cities. San Jose, the nation's 11<sup>th</sup> largest city, is an eligible city. The UASI grant foresees coordination between the core city and the core county in the development of improved homeland security against CBRNE threats.

The UASI Grant is handled like all Federal grants. Staff members make recommendations on applying for the grant, and propose a budget for the available grant funds. The City Council approves the grant application and proposed categorical budget, and authorizes the City Manager to apply for the grant, and, if awarded, negotiate and execute the grant.

The intent that the core city's governing body be an active partner in the grant management process and governance is shown in the UASI Grant requirement for only the core's city's council to pass the Governing Body Resolution establishing the financial relationship among the core city, the State and the Federal Department of Homeland Security. Furthermore, the core city signs the grant application as "Authorized Agent", while the core county signs as "Point of Contact" in affirming that "the application represents the consensus of the core city, core County and/or the Urban Area Working Group, as appropriate."

Once the grant is received, staff obtains appropriations from the City Council to carry out the work of the grant. Because the 2004 UASI is \$9.9 million, the appropriations are received in work segments, and reimbursement is requested as work is completed. As noted in the grant guidance, "The core city must indicate the amount of funds distributed from their allocation to other jurisdictions." In the 2004 UASI grant San Jose proposed distributing 20% to the 3 southern counties, 60% among the core county, core city and adjacent cities of the Operational Area based on participation in the UASI activities, and 20% to the core city.

**UASI Components**

City of San Jose City Council (Mayor and Councilmembers from 10 districts)

Management Committee (voting members): (2)

Director of Emergency Preparedness, City of San Jose  
Director of Emergency Preparedness, County of Santa Clara

Frannie Edwards, Director OES  
Celeste Cook, Director, OES

Advisory Committee:

Mayor's Office:  
Countywide Fire Service Representation:  
Countywide Interoperability Committee:  
Countywide Law Enforcement Representation:  
Information Technology:  
Mineta San Jose International Airport:  
Mutual Aid Coordinator/ Fire:  
Mutual Aid Coordinator/ Law:  
San Jose Police Department:  
San Jose Police Intelligence:  
San Jose Fire Department:  
San Jose Fire Emergency Medical Services  
San Jose Fire Hazardous Materials:  
Santa Clara County Health Officer:

Meghan Horrigan  
Chief Nick Marinaro, Palo Alto  
Sheryl Contois  
Chief Steve Lodge, Santa Clara  
Jim Helmer  
Frank Kirkbride  
Chief Ben Lopes, County Fire Dist  
Sheriff Laurie Smith  
Chief Rob Davis  
D/C Mike O'Connor  
Chief Jeff Clet  
Dr. Howard Michaels  
D/C Nick Thomas  
Dr. Martin Fenstersheib

Working Group:

Management Committee  
Advisory Committee

AMR:  
California Department of Forestry and Fire Protection:  
California Highway Patrol  
Governor's Office of Emergency Services:  
Hospital Council Representation:  
Monterey County:  
San Benito County:  
San Jose Department of Transportation:  
San Jose Environmental Services:  
San Jose General Services:  
Santa Clara County Communications:  
Santa Clara County District Attorney:  
Santa Clara County Emergency Managers Association:  
Santa Clara County Medical Examiner/Coroner:  
Santa Clara County Mental Health:  
Santa Clara Valley Chapter, American Red Cross:  
Santa Clara Valley Transportation Agency  
Santa Clara Valley Water District:  
Santa Cruz County:  
Volunteer Center of Silicon Valley:

Bob Cascone  
Steve Woodill  
Michael Marlatt  
Cecile Rollinson  
Linda Seidel, O'Connor Hospital  
Harry Robbins  
Margie Riopel  
Jim Ortbal  
Carl Mosher  
Jim McBride  
Bert Hildebrand  
Robert Fracolli  
Roy Shackel  
Chris Forrester  
Maria Fuentes  
Rex Painter  
Mark Bugna  
Bob Fields  
Michael Dever  
Tim Quigley

**Attachment 2**

**UASI 2005 BUDGET PROPOSAL**

**Summary**

Total UASI award from Department of Homeland Security	\$6,590,133
Retained by State (8.66% for 2005)	\$570,822
Available for San Jose UASI allocation	\$6,019,311

**Detail**

<b>AWR-160 Training Continuation:</b> (Federally required course) \$200,000 sworn; \$1.3 million non-sworn	\$1,500,000
<b>Interoperability Projects:</b> ECOMM Microwave System, Tri-County Microwave System	\$2,000,000
<b>Intelligence:</b> Software for planning, training and Buffer Zone Protection Program	\$250,000
<b>Public Information Officers Committee:</b> Projects, Speakers, Translations	\$150,000
<b>Equipment</b>	\$300,000
<b>Airport Exercise/Airport Terrorism Response Plan</b>	\$124,558
<b>Core City Expenditures:</b>	\$1,694,753
Overhead (Federally defined 2.5% for 2005)	\$164,753
Program staff (2)	\$330,000
Projects: EOC technology and retrofit; alerting and warning evaluation	\$1,200,000
<b>TOTAL AVAILABLE FOR 2005 SAN JOSE UASI ALLOCATION</b>	<b>\$6,019,311</b>