



# Memorandum

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**TO:** HONORABLE MAYOR AND  
CITY COUNCIL

**FROM:** Lee Price, City Clerk  
Richard Doyle, City Attorney

**SUBJECT: REVISIONS TO CITY OF SAN JOSE CONFLICT OF INTEREST CODE**    **DATE:** January 25, 2007

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## **RECOMMENDATION**

Adoption of a resolution amending the City of San José Conflict of Interest Code.

## **OUTCOME**

The recommended action ensures compliance with State law and updates the list of designated filers of the Statement of Economic Interest, Form 700.

## **BACKGROUND**

The Political Reform Act requires all public officials and employees who participate in the making of governmental decisions to disclose any economic interests, which could be affected, by those decisions. The City Council has previously adopted, by resolution, a Conflict of Interest Code, which sets forth reporting requirements for all City departments and certain Boards and Commissions. The Conflict of Interest Code must be updated from time to time.

## **ANALYSIS**

Some changes have occurred within City departments, which necessitate revisions to the list of designated employees and appointed officials. In general, the revisions reflect the following types of changes: 1) decision-making positions which have been newly created and/or deleted and 2) positions which have increased responsibilities warranting new or additional financial disclosure. The resolution contains the revised list of designated positions.

## **PUBLIC OUTREACH**

The public hearing on this item appears on the printed/posted Council Agenda.

HONORABLE MAYOR AND CITY COUNCIL

January 25, 2007

**Subject:** City's Conflict of Interest Code

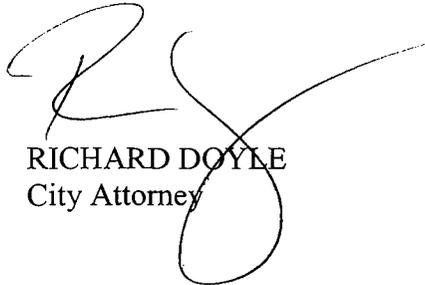
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**COORDINATION**

This item was coordinated by the City Clerk and the City Attorney, with the assistance of all Department Directors.



LEE PRICE, MMC  
City Clerk



RICHARD DOYLE  
City Attorney

For questions please contact Lee Price, City Clerk at (408) 535-1252.

RESOLUTION NO. \_\_\_\_\_

**A RESOLUTION OF THE COUNCIL OF THE CITY OF SAN JOSÉ AMENDING THE CONFLICT OF INTEREST CODE FOR THE CITY OF SAN JOSE**

**WHEREAS**, the City Council of the City of San Jose has adopted and incorporated by reference the terms of the standard model conflict of interest code adopted by the Fair Political Practices Commission (FPPC) by Regulation 2 California Code of Regulations, Section 18730; and

**WHEREAS**, the City Council has thereby approved a list setting forth designated positions of officers, employees, members and consultants of the City, and setting forth required conflict of interest disclosure categories; and

**WHEREAS**, that list should be amended and updated due to changes in organization and authority of City departments, boards, commissions and committees; and

**WHEREAS**, a public hearing was held on February 6, 2007 on the proposed amendments to the Conflict of Interest Code following the notice required by law.

**NOW, THEREFORE**, BE IT RESOLVED BY THE COUNCIL OF THE CITY OF SAN JOSÉ THAT:

1. The City of San Jose Conflict of Interest Code is amended in its entirety by this Resolution and the appendices attached hereto.
2. The Terms of 2 California Code of Regulations, Section 18730, and any amendments thereto, taken with appendices attached to this Resolution and incorporated by reference shall constitute the conflict of interest code

for all City of San Jose departments, boards, commissions and committees.

3. Persons holding designated positions listed in the attached Appendix I and consultants who are required to disclose pursuant to Appendix II shall file statements of economic interest when required by the state Political Reform Act or notified to do so by the City Clerk or designee or by the terms of an employee or consultant agreement with the City in accordance with the Act.

ADOPTED this \_\_\_\_\_ day of \_\_\_\_\_, 2007, by the following vote:

AYES:

NOES:

ABSENT:

DISQUALIFIED:

VACANT:

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CHUCK REED  
Mayor

ATTEST:

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LEE PRICE, MMC  
City Clerk

APPENDIX I

Resolution No. 73XXX

<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: City Council</b>		
Council Assistant I / II	Yes	Yes
Senior Council Assistant	Yes	Yes
Mayor's Executive Officer	Yes	Yes

**APPENDIX I**

**Resolution No. 73XXX**

<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: Office of the City Attorney</b>		
Assist City Attorney SII**	Yes	Yes
Assist City Attorney U**	Yes	Yes
Chief Deputy City Attorney SII**	Yes	Yes
Chief Trial Attorney SII**	Yes	Yes
City Attorney U*	Yes	Yes
Deputy City Attorney SII**	Yes	Yes
Deputy City Attorney U**	Yes	Yes
Legal Services Admin SII**	Yes	Yes
Legal Services Manager**	Yes	Yes
Senior Deputy City Attorney SII**	Yes	Yes
Senior Deputy City Attorney U**	Yes	Yes

\* Indicates Officials listed in California Government Code Section 87200.

\*\* Reportable economic interests are limited as follows:

- Investments and business positions in any business entity located in or doing business with the City.
- Income and gifts from sources located in or doing business with the City.
- Interests in real property located in the City, including property located within a two-mile radius of any property owned or used by the City.

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<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: Office of the City Auditor</b>		
City Auditor	Yes	Yes
Executive Assistant to the City Auditor	Yes	Yes
Program Performance Auditor I U	Yes	Yes
Program Performance Auditor II U	Yes	Yes
Senior Programming Performance Auditor U	Yes	Yes
Supervising Auditor U	Yes	Yes

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<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: Office of the City Manager</b>		
Administrative Officer	Yes	Yes
Assist Director U	Yes (Note 1)	Yes
Assistant City Manager U	Yes	Yes
Assistant Director of Economic Development U	Yes	Yes
Assistant Director U - Budget	Yes	Yes
Assistant to the City Manager U	Yes	Yes
Assistant to the City Manager-IR U	Yes	Yes
Budget Director U	Yes	Yes
City Manager U*	Yes	Yes
Deputy City Manager U	Yes	Yes
Deputy Director (OCA)	Yes	Yes
Deputy Redevelopment Manager U	Yes	Yes
Director of Economic Development U	Yes	Yes
Director of Employee Relations U	Yes (Note 1)	No
Division Manager (OCA)	Yes	No
Economic Development Officer	Yes	Yes
Emergency Preparedness Director	Yes	Yes
Manager Corp Outreach	Yes	Yes
Manager Public Education/Community Info U	Yes	Yes
Principal Budget Analyst U	Yes	Yes
Program Manager I/II	Yes	Yes
Redevelopment Manager U	Yes	Yes
Redevelopment Program Manager	Yes	Yes
Section Manager (OCA)	Yes	No

1) Reportable investments, income and business positions are limited to persons, business entities or other sources which provide personnel consulting services.

\* Indicates Officials listed in California Government Code Section 87200.

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Office of the City Clerk</b>		
Assistant City Clerk U	Yes (See Note)	No
City Clerk U	Yes (See Note)	No

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<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: Airport</b>		
Administrative Officer	Yes (Notes 1-4, 6)	Yes (Note 4)
Airport Maintenance Supervisor	Yes (Notes 1-4, 6)	Yes (Note 4)
Airport Noise Abatement Manager	Yes (Notes 1-6)	Yes (Note 4)
Airport Operations Manager	Yes (Notes 1-4, 6)	Yes (Note 4)
Airport Operations Superintendent	Yes (Notes 1-4, 6)	Yes (Note 4)
Assistant Director U	Yes (Notes 1-6)	Yes (Note 4)
Assistant to the Director	Yes (Notes 1-6)	Yes (Note 4)
Associate Engineer	Yes (Notes 1-4, 6)	Yes (Note 4)
Associate Structure/Land Designer	Yes (Notes 1-6)	Yes (Note 4)
Building Maintenance Superintendent	Yes (Notes 1-4, 6)	Yes (Note 4)
Building Management Admin	Yes (Notes 1-4, 6)	Yes (Note 4)
Custodial Supervisor	Yes (Notes 1-4, 6)	Yes (Note 4)
Deputy Director U	Yes (Notes 1-6)	Yes (Note 4)
Director of Aviation	Yes (Notes 1-6)	Yes (Note 4)
Division Manager	Yes (Notes 1-6)	Yes (Note 4)
Electrical Maintenance Superintendent	Yes (Notes 1-4, 6)	Yes (Note 4)
Electrician Supervisor	Yes (Notes 1-4, 6)	Yes (Note 4)
Environmental Service Program Manager	Yes (Notes 1-4, 6)	Yes (Note 4)
Financial Analyst	Yes (Notes 1-4, 6)	Yes (Note 4)
Maintenance Manager	Yes (Notes 1-4, 6)	Yes (Note 4)
Marketing & Public Outreach Manager	Yes (Notes 1-6)	Yes (Note 4)
Principal Accountant	Yes (Notes 1-4, 6)	Yes (Note 4)
Principal Engineering Technician	Yes (Notes 1-4, 6)	Yes (Note 4)
Program Manager II	Yes (Notes 1-6)	Yes (Note 4)
Property Manager I	Yes (Notes 1-4, 6)	Yes (Note 4)
Property Manager II	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Accountant	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Analyst	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Construction Inspector	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Electrician	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Engineer	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Geographic Systems Specialist	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Painter	Yes (Notes 1-4, 6)	Yes (Note 4)
Senior Planner	Yes (Notes 3-6)	Yes (Note 4)
Supervising Property Manager	Yes (Notes 1-4, 6)	Yes (Note 4)
Supervisor, Trades	Yes (Notes 1-4, 6)	Yes (Note 4)

- 1) Reportable investments, income and business positions are limited to persons, business entities or other sources which produce, manufacture, sell, maintain or repair airport products, airport-related goods and airport consulting firms.
- 2) Reportable investments, income and business positions are limited to persons, business entities or other sources which provide business and accounting services to the airport or which own or operate parking facilities or which manufacture, sell, maintain or repair parking equipment.
- 3) Reportable investments, income and business positions are limited to persons, business entities or other sources holding real estate interests or whose activities involve any aspect of construction, including signs, landscaping, financing, brokerage, etc. within the applicable geographical area.

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- 4) For real property within the airport influence area (airport land acquisition area or within the 65 CNEL boundary).
- 5) Reportable investments, income and business positions are limited to persons, business entities or other sources which are involved in advertising promotion, printed or electronic media, advertising or promotional consulting, etc.
- 6) Reportable investments, income and business positions are limited to persons, business entities or other sources which provide airport/airline services or support airport/airline services; i.e., airport concessionaires, fixed based operators, airlines, car rental agencies, taxi cabs, limousines, ground handling services, fuel suppliers, shippers, freight forwarders and travel agencies at San Jose International Airport.

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<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: Environmental Services</b>		
Administrative Officer	Yes	Yes
Associate Engineer	Yes (20)	Yes (21)
Associate Engineer (ME) UR	Yes (1-19)	Yes
Associate Environmental Services Specialist	Yes (1-19)	No
Deputy Director U	Yes	Yes
Director of Environmental Services U	Yes	Yes
Division Manager	Yes	Yes
Engineering Maintenance Supervisor, WPC	Yes (1-19)	Yes
Environment Compliance Officer	Yes	Yes
Environmental Inspector II	Yes	Yes
Environmental Inspector, Senior	Yes	Yes
Environmental Services Program Manager	Yes	Yes
Laboratory Supervisor	Yes (1-18)	Yes
Marketing & Public Outreach Manager	Yes	Yes
Plant General Operations Supervisor	Yes (1-18)	No
Principal Accountant	Yes (1-18)	Yes
Program Manager II	Yes	Yes
Senior Analyst	Yes	Yes
Senior Construction Inspector	Yes (20)	Yes (21)
Senior Engineer	Yes (20)	Yes (21)
Supervising Environmental Services Specialist	Yes (1-20)	Yes
Systems Control Supervisor	Yes (1-18)	No
Yard Master	Yes (1-20)	No

- 1) Construction firms as follows:
  - A. General engineering contractors
  - B. Electrical contractors
  - C. Plumbing contractors
  - D. Cement and concrete contractors
  - E. Masonry contracts
  - F. Excavating, grading, trenching, paving and surfacing contractors
  - G. Landscaping contractors
  - H. Sewer, sewage disposal, drain cement pipe laying
  - I. Welding contractors
  - J. Pipeline contractors
  - K. Well drilling contractors
  - L. Reinforcing steel contractors
  - M. Structural steel contractors
  
- 2) Material suppliers doing business with contractors performing work with City of San Jose property.
  
- 3) Equipment manufacturers and suppliers for surveying, materials lab, office and communications equipment.
  
- 4) Civil, soil, foundation, photogrammetric, structural, electrical, mechanical, landscape, surveying and environmental engineering consultants.
  
- 5) Individuals, partnerships and corporations involved in land development.

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- 6) Public utilities:
  - A. P.G. & E.
  - B. P.T. & T.
  - C. S.J.W.W.
  - D. Any public utility relating to water pollution control and/or treatment.
- 7) All firms developing products or processes for construction of public improvements and/or sewage treatment improvements.
- 8) For surveying equipment manufacturers and suppliers.
- 9) For materials lab equipment manufacturers and suppliers.
- 10) For real property within the City of San Jose or two miles outside the jurisdiction limits.
- 11) For real property within geographic area of assignment.
- 12) For firms supplying material or equipment to the City as specified in a contract.
- 13) For firms contracting with the City.
- 14) For property which may be influenced by the operation of the Water Pollution Control Plant.
- 15) For engineering consultants and sewer system construction contractors; testing laboratories and suppliers of material and equipment for sewer system construction.
- 16) For water system construction contractors; suppliers of materials and equipment for water system construction, operation and maintenance; engineering consultants and testing laboratories.
- 17) For undeveloped property within the service area of the Water Pollution Control Plant.
- 18) For any computer related manufacturer and/or supplier; for any computer software manufacturer and/or supplier; for any computer support system manufacturer and/or supplier.
- 19) For heavy diesel equipment manufacturers and/or suppliers; for heavy equipment parts manufacturers and/or suppliers; for diesel fuel manufacturers and/or suppliers.
- 20) You must report any investment, income or interest you have involving a person, firm or business that provides material supply, provides equipment supply, performs professional services, performs construction, performs private development or provides public utility services for the City of San Jose.
- 21) You must report any interest you have in real property, which is in or within two miles of the jurisdictional limits of San Jose, other than your principal place of residence.
- 22) Business positions include any type of real estate or contractor's license, active or otherwise.

Business investments, income, and business positions are limited to persons, business entities, or other sources holding real estate interest within the applicable geographical area or whose activities involve any aspect of construction, including signs, landscaping, financing, brokerage, etc.

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Finance</b>		
Administrative Manager	Yes	Yes
Administrative Officer	Yes	Yes
Buyer I/II	Yes	Yes
Deputy Director U	Yes	Yes
Director of Finance U*	Yes	Yes
Financial Analyst	Yes	Yes
Principal Accountant	Yes (Note 1)	No
Supervising Accountant	Yes (Note 1)	No
Senior Accountant	Yes (Note 1)	No
Program Manager II (#13256)	Yes	Yes
Senior Analyst	Yes	Yes
Senior Investigator Collector	Yes (Note 2)	Yes
Investigator Collector	Yes (Note 2)	Yes

- 1) Reportable investments, income and business positions are limited to persons, business entities or other sources which provide business and accounting services.
- 2) Reportable investments, income and business positions are limited to persons, business entities or other sources operating or doing business within the City of San Jose and persons, business entities or other sources which provide debt collection services, wherever located.

\* Indicates Officials listed in California Government Code Section 87200.

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Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
Dept: Fire Department		
Administrative Manager (9114)	Yes (1, 2)	Yes (2)
Administrative Officer (14421, 15287)	Yes (1, 2)	Yes (2)
Arson Investigator (1660, 1667, 1670, 5113)	Yes (5)	Yes (2)
Assistant Fire Chief (1466)	Yes (2)	Yes (2)
Associate Engineer UR- Eng (1522, 1561, 5815, 6052, 12082, 13230, 13231, 15015, 15016, 15219)	Yes (2, 4)	Yes (2)
Battalion Chief - Assistant Fire Marshall (1517)	Yes (4)	Yes (2)
Battalion Chief – Communications (8331)	Yes (3, 7)	No
Battalion Chief - EMS (8498)	Yes (6)	No
Battalion Chief – OES (14579)	Yes (1,3,6)	No
Battalion Chief - Facilities Chief (8330)	Yes (3)	No
Battalion Chief – Safety Officer (1506)	Yes (3)	No
Battalion Chief – Training (1521)	Yes (6)	No
Contract Doctor - EMS Doctor	Yes (6)	No
Deputy Director - Administrative Services (14373)	Yes (1, 2)	Yes (2)
Deputy Director – Support Services (14662)	Yes (1)	Yes (2)
Deputy Fire Chief - Operations/EMS (13343)	Yes (6)	No
Deputy Fire Chief – Fire Marshall (15143)	Yes (7)	Yes (2)
Deputy Fire Chief - Operations/Sp Ops (1504)	Yes (6)	No
Deputy Fire Chief - Operations/Training (8148)	Yes (6)	No
Division Manager – BFP (15294)	Yes (2,4,5)	Yes (2)
Engineer II (1665)	Yes (2, 4)	Yes (2)
Fire Captain – EMS Captain (1528, 1580, 7633)	Yes (6)	No
Fire Captain – Acting PIO (1566)	Yes (2, 4)	No
Fire Captain – Apparatus Captain (1568)	Yes (3)	No
Fire Captain – Arson Captain (8440)	Yes (3)	Yes (2)
Fire Captain – Facilities Captain (1552)	Yes (3)	No
Fire Captain - BFP (1620)	Yes (2, 4)	Yes (2)
Fire Chief (1465)	Yes (2)	Yes (2)
Fire Equip Technician Stores/Air Room (1849, 12085)	Yes (1, 2)	Yes (2)
Fire Prevention Inspector (1661, 1662, 1663, 1668, 1673, 4259, 5114, 5739, 6090, 1672, 5738)	Yes (2,4)	Yes (2)
Hazardous Materials Insp II (5726, 5727, 6620, 6622, 6623, 6625, 15017)	Yes (2, 4)	Yes (2)
Network Engineer (12658)	Yes (1, 2)	Yes (2)
Nurse – EMS (1795)	Yes (6)	No
Permit Specialist (6339, 8390, 15293)	Yes (4)	Yes (2)
Senior Analyst (1491, 6158, 8152, 14886, 15288)	Yes (1, 2)	Yes (2)
Senior Engineer (12118, 14288)	Yes (2, 4)	Yes (2)
Senior HazMat Insp – HazMat/IDTS (10049, 6619)	Yes (4)	Yes (2)
Supervising Public Safety Dispatcher (6895, 8399, 6846)	Yes (3)	No

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- 1) Reportable investments, income and business positions are limited to persons, business entities and other sources which manufacture or sell fire prevention equipment/supplies, fire alarm equipment/supplies, firefighting equipment/supplies, automotive equipment/supplies, computer hardware and/or software, business systems services or related consulting.
- 2) Limited to vacant property and structures within the jurisdiction served by the San Jose Fire Department.
- 3) Reportable investments, income and business positions are limited to persons, business entities and other sources which manufacture or sell fire prevention equipment/supplies, fire alarm equipment/supplies, firefighting equipment/supplies, computer hardware and/or software, business systems services and/or related consulting services.
- 4) Report investments, income and business positions are limited to persons, business entities, and other sources for which this employee is authorized to issue permits, conduct inspection which form the basis for the issuance of permits, or review plans which may subsequently lead to the issuance of a permit.
- 5) Reportable investments, income and business positions are limited to any persons, business entities or other sources operating or doing business within the jurisdiction served by the San Jose Fire Department.
- 6) Reportable investments, income and business positions are limited to persons, business entities and other sources which manufacture or sell firefighting and/or automotive equipment and/or supplies.
- 7) Reportable investments, income and business positions are limited to persons, entities and other sources which manufacture or sell fire prevention equipment/supplies, fire alarm equipment/supplies, firefighting equipment/supplies, computer hardware and/or software business systems services and/or related consulting services, and/or any business.

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Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: General Services</b>		
Administrative Officer	Yes	No
Air Conditioning Supervisor	Yes	No
Building Management Administrator	Yes	No
Building Services Supervisor	Yes	No
Deputy Director U	Yes	Yes
Director of General Services U	Yes	Yes
Electrician Supervisor	Yes	No
Equipment Maintenance Supervisor	Yes	No
Fleet Manager	Yes	No
Maintenance Contract Supervisor	Yes	No
Program Manager I/II	Yes	No
Senior Analyst	Yes	No
Senior Automotive Equip Specialist	Yes	No
Senior Mechanical Parts Worker	Yes	No
Supervisor, Trades	Yes	No

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Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Housing</b>		
Administrative Officer	Yes	Yes
Analyst I C	Yes	Yes
Analyst II C	Yes	Yes
Assistant Director U	Yes	Yes
Building Inspector/Comb (Cert)	Yes	Yes
Building Rehabilitation Inspector I/II	Yes	Yes
Building Rehabilitation Supervisor	Yes	Yes
Development Officer	Yes	Yes
Development Specialist	Yes	Yes
Development Specialist (PT)	Yes	Yes
Director of Housing U	Yes	Yes
Division Manager	Yes	Yes
Housing Policy and Planning Administrator	Yes	Yes
Marketing/Public Outreach Manager	Yes	Yes
Senior Accountant	Yes	Yes
Senior Analyst	Yes	Yes
Senior Development Officer	Yes	Yes
Temp Empl Retiree U (#6952) (#14290)	Yes	Yes

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Human Resources</b>		
Administrative Officer	Yes (Note 1)	Yes
Deputy Director of Human Resources	Yes (Note 1)	Yes
Deputy Director U	Yes (Note 1)	Yes
Director of Human Resources U	Yes (Note 1)	Yes
Division Manager	Yes (Note 1)	Yes
Physician	Yes (Note 1)	Yes
Principal Budget Analyst	Yes (Note 1)	Yes

- 1) Reportable investments, income and business positions are limited to persons, business entities or other sources which are involved in personnel consulting, investment counseling, insurance, medical or dental services, actuarial services or legal services.

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<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: Independent Police Auditor</b>		
Deputy Director U	Yes	Yes
Independent Police Auditor	Yes	Yes

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Information Technology</b>		
Administrative Manager	Yes	Yes
Deputy Director U	Yes	Yes
Director of Information Technology U	Yes	Yes
Division Manager	Yes (Note 1)	Yes
Information Systems Analyst	Yes (Note 1)	Yes
Supervising Applications Analyst	Yes (Note 1)	Yes

- 1) Reportable investments, income and business positions are limited to persons, business entities, or other sources, which produce, manufacture, or sell technology-related materials, equipment, or services.

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Library</b>		
Administrative Officer	Yes	No
Assist Dir U	Yes	Yes
City Librarian U	Yes	Yes
Deputy Dir U	Yes	Yes
Library Assistant (Position #14325)	Yes	No
Senior Analyst (Position #13525)	Yes	No
Senior Librarian (Position #3663)	Yes	No
Supervising Librarian	Yes	No

- 1) Reportable investments, income or business positions are limited to persons, business entities or other sources providing materials, supplies or service to public libraries.

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Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Parks, Recreation &amp; Neighborhood Services</b>		
Administrative Officer	Yes	Yes
Assistant Director U	Yes	Yes
Assistant to the Director	Yes	Yes
Deputy Director	Yes	Yes
Deputy Director Parks, Rec, & Neighborhood Svcs (U)	Yes	Yes
Deputy Director U	Yes	Yes
Director of Parks, Rec, Neighborhood Svcs	Yes	Yes
Division Manager	Yes	Yes
Family Park Manager	Yes	Yes
Horticulturalist	Yes	Yes
Landscape Maintenance Manager	Yes	Yes
Maintenance Supervisor	Yes	Yes
Parks Facilities Supervisor	Yes	Yes
Parks Manager	Yes	Yes
Principal Engineering Technician	Yes	Yes
Recreation Superintendent	Yes	Yes
Zoo Manager	Yes	Yes

- Business positions include any type of real estate or contractor’s license, active or otherwise.

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Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Planning, Building &amp; Code Enforcement</b>		
Administrative Officer	Yes (1)	Yes
Analyst II C	Yes (1)	Yes
Assoc Architect/Land Architect	Yes (1)	Yes
Associate Engineer	Yes (1)	Yes
Building Inspection Manager	Yes (1)	Yes
Building Inspector, Supervisor	Yes (1)	Yes
Building Inspector, Supvr Cert	Yes (1)	Yes
Building Inspector/Comb	Yes (1)	Yes
Building Inspector/Comb Cert	Yes (1)	Yes
Code Enforcement Administrator	Yes (1)	Yes
Code Enforcement Inspector II	Yes (1)	Yes
Code Enforcement Supervisor	Yes (1)	Yes
Deputy Director Code Enforcement	Yes (1)	Yes
Deputy Director U	Yes (1)	Yes
Deputy Director Planning U	Yes (1)	Yes
Director Planning, Building & Code Enforcement U	Yes (1)	Yes
Division Manager	Yes (1)	Yes
Environment Inspector II	Yes	Yes
Permit Specialist	Yes (1)	Yes
Permit Specialist, Senior	Yes (1)	Yes
Planner II	Yes	Yes
Planner II PT	Yes	Yes
Principal Planner	Yes (1)	Yes
Senior Analyst	Yes	Yes
Senior Planner	Yes	Yes
Senior Engineer	Yes	Yes
Supervising Envir Service Specialist	Yes	Yes

- 1) Business position includes any type of real estate or contractor's license, active or otherwise.

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Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Police Department</b>		
Administrative Officer – Fiscal (Pos# 0471)	Yes	No
Administrative Officer–Crime Analysis (Pos #12418)	Yes	No
Assistant Police Chief U	Yes	Yes
Chief of Police U	Yes	Yes
Deputy Chief of Police	Yes	Yes
Division Manager, Public Safety (Pos# 6353)	Yes	No
Division Manager, Public Safety (Pos# 8392)	Yes	No
Police Captain	Yes	Yes
Police Lieutenant	Yes	No
Police Officer – Systems Dev. (Pos# 4493)	Yes	No
Senior Police Property Spec (Pos #4727)	Yes	No
Police Sergeant – Horse Mounted Unit	Yes	No
Police Sergeant – PAL	Yes	No
Police Sergeant – Systems Dev.	Yes	No
Police Sergeant–Research & Dev/Fleet Mgr.	Yes	No

**APPENDIX I**

**Resolution No. 73XXX**

<b>Designated Positions</b>	<b>Reportable Disclosure Categories</b>	
	<b>Investments, Business Positions, Sources of Income</b>	<b>Real Property Interests</b>
<b>Dept: Public Works</b>		
Administrative Officer	Yes (1)	Yes (2)
Assistant Director U	Yes (1)	Yes (2)
Associate Architect/Landscape Arch	Yes (1)	Yes (2)
Associate Construction Inspector	Yes (1)	Yes (2)
Associate Engineer	Yes (1)	Yes (2)
Associate Structure/Landscape Designer	Yes (1)	Yes (2)
Building Inspector/Comb	Yes (1)	Yes (2)
Building Inspector/Comb (Cert)	Yes (1)	Yes (2)
Chief of Surveys	Yes (1)	Yes (2)
Construction Manager	Yes (1)	Yes (2)
Contract Compliance Coordinator U	Yes (1)	Yes (2)
Contract Compliance Specialist	Yes (1)	Yes (2)
Deputy Director of Public Works	Yes (1)	Yes (2)
Director of Public Works U	Yes (1)	Yes (2)
Director of Equality Assurance	Yes (1)	Yes (2)
Division Manager	Yes (1)	Yes (2)
Land Surveyor	Yes (1)	Yes (2)
Program Manager I/II	Yes (1)	Yes (2)
Principal Accountant	Yes (1)	Yes (2)
Principal Construction Inspector	Yes (1)	Yes (2)
Principal Engineering Technician	Yes (1)	Yes (2)
Real Property Agent I/II	Yes (1)(3)	Yes (2)
Senior Architect/Landscape Architect	Yes (1)	Yes (2)
Senior Construction Inspector	Yes (1)	Yes (2)
Senior Engineer	Yes (1)	Yes (2)
Senior Geographic Systems Specialist	Yes (1)	Yes (2)
Supervising Traffic Signal Technician	Yes (1)	Yes (2)
Supervising Real Property Agent	Yes (1)(3)	Yes (2)

- 1) You must report any investment, income or interest you have involving a person, firm or business that provides material supply, provides equipment supply, performs professional services, performs construction, performs private development or provides public utility services for the City of San Jose.
- 2) You must report any interest you have in real property, which is in or within two miles of the jurisdictional limits of San Jose, other than your principal place of residence.
- 3) Business positions include any type of real estate or contractor's license, active or otherwise.

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Retirement Services</b>		
Administrative Officer	Yes	Yes
Director of Retirement Services U	Yes	Yes
Deputy Director U	Yes	Yes

APPENDIX I

Resolution No. 73XXX

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Department of Transportation</b>		
Administrative Manager	Yes	Yes
Administrative Officer	Yes	Yes
Arborist	Yes	Yes
Assistant Arborist	Yes	Yes
Assistant Director	Yes	Yes
Associate Engineer	Yes	Yes
Associate Engineer (Exempt)	Yes	Yes
Associate Transportation Specialist	Yes	Yes
Director of Transportation U	Yes	Yes
Deputy Director U	Yes	Yes
Division Manager	Yes	Yes
Electrical Maintenance Superintendent	Yes	Yes
Maintenance Manager	Yes	Yes
Maintenance Superintendent	Yes	Yes
Marketing & Public Outreach Manager	Yes	Yes
Operations Manager	Yes	Yes
Parking/Ground Transportation Administrator	Yes (1)	Yes
Parking Control Supervisor	Yes (1)	Yes
Parking Manager	Yes (1)	Yes
Principal Construction Inspector	Yes	Yes
Principal Engineering Technician	Yes	Yes
Senior Analyst	Yes	Yes
Senior Construction Inspector	Yes	Yes
Senior Engineer	Yes	Yes
Senior Transportation Specialist	Yes	Yes
Transportation Planning Systems Manager	Yes	Yes

- 1) Reportable investments, income and business positions are limited to persons, business entities or other sources which own or operate parking facilities or which manufacture, sell, maintain, or repair parking equipment.

Designated Positions	Reportable Disclosure Categories	
	Investments, Business Positions, Sources of Income	Real Property Interests
<b>Dept: Boards &amp; Commissions</b>		
Airport Commission	Yes (Note 1)	Yes (Note 2)
Arts Commission	Yes (Note 3)	Yes
Civil Service Commission	Yes (Note 4)	No
Deferred Compensation Advisory Committee*	Yes	Yes
Elections Commission	Yes	Yes
Federated City Employees Retirement System Board of Administration	Yes	Yes
Historic Landmarks Commission	Yes (Note 5)	Yes (Note 6)
Housing & Community Development Advisory Commission	Yes	Yes
Planning Commission*	Yes	Yes
Police & Fire Department Retirement Plan Board of Administration	Yes	Yes
San Jose Appeals Hearing Board	Yes (Note 7)	Yes (Note 7)

- 1) Reportable investments, income and positions are limited to persons, entities, or sources that are or are involved with Airport tenants or concessionaires, aviation-related businesses, aviation petroleum and/or petroleum products businesses, airport consultants, engineering or construction firms, and/or suppliers of products or services to the Airport.
- 2) Limited to property located within the Airport Land Use Commission referral boundaries.
- 3) Reportable investments, income or business positions are limited to persons or business entities which are eligible to be applicants for any and all grants that are reviewed and recommended by the Arts Commission and/or its committees; and/or involved with or may be involved with public art contracts for service, including public art contracts, that are reviewed and recommended by the Arts Commission and/or its committees.
- 4) Reportable investments, income and positions are limited to persons, business entities or other sources providing employee/employer relations services to the City or its employees or advising or representing City employees in administrative or legal actions relating to employment.
- 5) Reportable investments, income and positions are limited to persons, business entities, or sources which deal in real property and those transactions related to such dealing and to consultant services dealing with historic landmarks analysis, including Environmental Impact Reports.
- 6) Limited to real property which could be considered for designation as a historic landmark under Chapter 13.48 of the San Jose Municipal Code or which is adjacent to such property.
- 7) Reportable investments, income and positions are limited to persons, business entities, or sources engaged in residential or commercial construction, maintenance, or demolition.

\* Indicates Officials listed in California Government Code Section 87200

Conflicts Consultant Appendix

Appendix II

A. Consultant's Disclosure

- (1) Each "consultant" as defined by the Political Reform Act (Government Code 81000, et seq.) and the implementing regulations adopted by the Fair Political Practices Commission is required to file disclosure Form 700 with the Agency.
- (2) Only those interests which are relevant to the consultant's engagement are required to be disclosed. The appropriate interests to be disclosed shall be determined by the responsible Appointing Authority or designee, such as directors or heads of the various City of San Jose offices or departments. Appointing Authorities are those officers of the City appointed by the City Council pursuant to the City Charter. The interests to be disclosed shall be set forth in the agreement for services between the consultant and the City of San Jose.
- (3) Disclosure shall be made as to the interests of each person performing under the agreement, to the extent required by the Political Reform Act.

B. Disqualification

- (1) Nothing in this Resolution should be construed to allow any official, employee or consultant of the City of San Jose to make or participate in making or in any way attempt to influence a governmental decision in which he knows or has reason to know he has a financial interest. Disqualification in the event of a conflict of interest is governed by 87100 and following of the Government Code and the implementing regulations promulgated pursuant to the Political Reform Act.
- (2) All agreements with consultants, whether or not such a consultant is required to file a disclosure statement in accordance with this Resolution, shall provide that the consultant is obligated to disqualify himself or herself if a conflict of interest exists at any time during the term of the Agreement.